statistical bulletin

january 23, 1974 vol. 33 no. 4

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united states securities and exchange commission
washington, d. c. 20549

ROUND-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE

(SHARES AND WARRANTS)1/

Week Ended December 28,1973

Trade Date 5		Total Reported Volume 9	Transactions of Members for Own Account, Except for Odd-Lot Dealer 2/		
			As Specialists 19	As Floor Traders 28	Others 36
Mon.	81 Purchases	11,620,940	1,697,970	44,000	758,297
	82 Sales-Total	11,620,940	1,818,130	36,400	860,485
	83 -Short	723,160	420,460	5,400	123,700
Tues.	84 Purchases				
	85 Sales-Total	E X C H	A N G E	C L O	S E D
	86 -Short				
Wed.	87 Purchases	18,749,490	3,098,220	122,700	1,695,337
	88 Sales-Total	18,749,490	3,359,410	84,300	1,383,142
	89 -Short	1,496,460	908,160	35,400	247,400
Thurs.	10 Purchases	22,915,250	3,315,760	160,200	1,796,594
	11 Sales-Total	22,915,250	3,475,040	117,700	1,626,073
	12 -Short	1,576,910	934,470	38,300	269,800
Fri.	13 Purchases	21,535,760	3,185,740	133,100	1,584,154
	14 Sales-Total	21,535,760	2,870,810	80,080	1,363,650
	15 -Short	1,295,310	771,750	19,200	246,600
WEEK	16 Purchases	74,821,440	11,297,690	460,000	5,834,382
	17 Sales-Total	74,821,440	11,523,390	318,480	5,233,350
	18 -Short	5,091,840	3,034,840	98,300	887,500

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1/ Warrants have been included with share volume since January 3, 1972.

2/ The term "members" includes regular Exchange members, Exchange allied members and Exchange member organizations. Transactions for the odd-lot account of the odd-lot dealer are reported separately. All sales totals include short sales.

SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NEW YORK STOCK EXCHANGE

Week Ended December 28, 1973

Trade Date 6		Customers' Odd-Lot Transactions 1/		Dealer Round-Lot Transactions	
		9 Shares	17 Value	27 Shares	35 Value
Mon.	21 Purchases	245,511	8,087,149	69,120	1,878,513
	22 Sales-Total	347,987	11,320,788	183,150	5,381,506
	23 -Short	3,785	304,529	0	0
Tues.	24 Purchases		HOLIDAY		
	25 Sales-Total				
	26 -Short				
Wed.	27 Purchases	309,452	11,010,553	90,240	2,837,568
	28 Sales-Total	447,668	14,033,125	204,850	5,552,975
	29 -Short	5,426	398,740	0	0
Thurs.	10 Purchases	424,598	14,898,137	109,270	3,357,109
	11 Sales-Total	585,804	18,438,947	281,660	7,283,261
	12 -Short	4,359	315,218	0	0
Fri.	13 Purchases	407,595	13,586,954	91,150	2,635,298
	14 Sales-Total	696,748	20,675,313	391,700	10,566,884
	15 -Short	5,241	380,832	0	0
WEEK	16 Purchases	1,387,156	47,582,793	359,780	10,708,488
	17 Sales-Total	2,078,207	64,468,173	1,061,360	28,784,626
	18 -Short	18,811	1,399,319	0	0

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1/ In odd-lot transactions, customers' purchases are dealers' sales and sales are dealers' purchases.

NOTE: All sales totals include short sales

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED DECEMBER 14, 1973		WEEK ENDED DECEMBER 21, 1973		WEEK ENDED DECEMBER 28, 1973		WEEK ENDED JANUARY 4, 1974	
	PURCHASES	SALES	PURCHASES	SALES	PURCHASES	SALES	PURCHASES	SALES
ADMIRAL CORPORATION	530	710	462	405	434	405	522	506
ALLIED CHEMICAL CORPORATION	1,308	9,299	887	7,969	2,345	7,399	2,853	16,667
ALLIS CHALMERS CORP.	866	1,670	1,093	2,219	668	2,486	671	1,228
ALUMINUM CO. OF AMERICA	973	3,502	740	1,619	631	677	790	1,827
AMERICAN AIRLINES, INC.	4,859	5,466	4,722	6,037	3,814	6,724	3,984	3,943
AMERICAN BRANDS, INC.	1,997	2,606	1,739	2,994	1,470	1,931	1,997	1,651
AMERICAN CAN COMPANY	2,566	3,157	3,503	3,998	2,197	4,811	1,890	2,332
AMERICAN CYANAMID CO.	7,242	4,191	6,049	3,301	5,849	4,248	5,721	3,073
AMERICAN MOTORS CORP.	6,758	3,659	3,630	2,291	3,011	2,171	4,041	2,897
AMERICAN TEL. & TEL. CO.	23,950	42,325	18,114	57,305	12,653	37,061	23,242	41,651
AMF INCORPORATED	3,766	2,529	2,735	2,274	2,576	1,604	2,705	1,837
AMPEX CORPORATION	1,190	2,682	592	2,925	860	4,859	1,276	2,296
ANACONDA COMPANY	1,263	4,465	1,006	2,766	596	2,365	2,405	5,681
APECO CORPORATION	173	1,300	472	1,658	480	2,875	335	1,682
AVCO CORPORATION	1,122	2,231	943	3,133	956	5,543	1,004	3,513
AVNET, INC.	803	1,453	676	1,962	692	2,053	932	1,967
BETHLEHEM STEEL CORPORATION	1,536	4,441	1,948	6,045	1,399	5,279	1,062	6,146
BOEING COMPANY.	4,241	4,387	5,166	5,751	2,938	5,320	3,722	4,827
BRUNSWICK CORPORATION	4,982	971	2,366	1,284	2,541	1,288	1,671	960
BURROUGHS CORPORATION	5,142	15,459	3,720	5,967	3,300	4,025	2,216	5,424
CHRYSLER CORPORATION	24,991	10,806	12,942	14,341	13,803	15,498	18,988	12,150
COLUMBIA GAS SYSTEM, INC	963	2,810	794	1,927	1,121	1,455	1,257	1,777
COMMONWEALTH OIL REFINING CO.	1,191	1,132	1,050	1,266	779	1,569	1,743	2,295
COMMUNICATIONS SATELLITE CORP	1,839	2,378	1,023	1,502	718	897	1,643	1,341
CONSOLIDATED EDISON CO. OF N.	7,884	6,952	16,181	7,996	8,496	7,757	7,774	6,936
CONTINENTAL AIRLINES, INC.	1,756	2,456	1,653	2,725	1,158	3,656	1,954	2,691
CONTROL DATA CORPORATION	3,926	5,619	1,599	5,234	2,687	4,464	4,367	4,131
DART INDUSTRIES, INC.	1,832	2,966	1,754	2,970	1,319	2,733	1,326	1,698
DOW CHEMICAL COMPANY	2,903	7,433	2,660	6,159	2,218	4,593	2,320	5,375
DU PONT DE NEMOURS (E.I.) & C	3,241	7,241	3,112	5,874	2,891	3,755	3,055	5,689
EASTERN AIR LINES, INC.	5,342	4,442	5,007	5,891	3,265	7,856	5,008	4,543
EASTMAN KODAK COMPANY	16,998	20,883	13,386	17,853	12,182	12,238	9,141	14,948
ESMARK INC.	870	731	722	810	629	1,167	717	752
EXXON CORPORATION	10,035	21,505	9,576	21,074	8,680	13,895	8,684	24,601
FAIRCHILD CAMERA & INSTRUMENT	8,737	6,735	5,086	2,454	5,846	2,436	7,396	3,686
FAIRCHILD INDUSTRIES, INC.	326	578	535	1,022	455	1,018	316	607
FORD MOTOR COMPANY	19,159	8,578	11,898	8,941	15,781	9,891	10,893	9,008
GAF CORPORATION	3,206	2,572	2,176	4,152	2,590	5,866	2,306	3,677
GENERAL DYNAMICS CORP.	429	1,433	492	1,643	580	1,553	536	1,349
GENERAL ELECTRIC COMPANY	9,076	12,921	9,407	11,850	7,357	9,452	5,747	11,956
GENERAL FOODS CORPORATION	1,803	3,094	916	3,354	1,330	4,315	1,162	3,049
GENERAL MOTORS CORPORATION	94,388	48,324	54,601	53,537	48,293	52,284	50,197	43,445
GEN'L TEL. & ELECTRONICS CORP	4,522	9,893	3,107	10,585	3,028	7,102	5,707	6,300
GOODYEAR TIRE & RUBBER CO.	9,369	5,312	14,758	7,293	7,329	5,017	3,453	2,986
GREAT WESTERN FINANCIAL CORP	566	585	252	1,008	430	653	378	424
GULF OIL CORPORATION	20,807	12,639	20,374	12,755	18,443	13,226	27,790	15,843
GULF & WESTERN INDUSTRIES	1,024	2,907	561	2,450	764	2,056	588	1,967
HIGH VOLTAGE ENGINEERING CO.	365	364	131	671	220	818	247	506
HONEYWELL, INC.	3,086	4,109	2,730	4,073	3,627	3,953	3,642	3,321
INT'L BUSINESS MACHINES CORP.	34,805	42,207	30,082	49,603	20,262	28,012	27,538	33,223

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED DECEMBER 14, 1973		WEEK ENDED DECEMBER 21, 1973		WEEK ENDED DECEMBER 28, 1973		WEEK ENDED JANUARY 4, 1974	
	PURCHASES *********	SALES *******	PURCHASES *********	SALES *******	PURCHASES *********	SALES *******	PURCHASES *********	SALES *******
INTERNATIONAL HARVESTER CO.	4,713	3,511	3,328	2,619	2,111	2,900	2,025	2,262
INT'L NICKEL CO. OF CANADA	773	1,798	615	1,336	560	1,357	621	1,406
INTERNATIONAL PAPER COMPANY	651	3,951	901	3,135	677	2,583	1,000	5,199
ITEK CORPORATION	10,576	3,733	2,558	2,032	2,082	3,196	1,735	2,588
JOHNS-MANVILLE CORP.	2,355	1,775	1,441	1,894	957	2,388	1,359	1,612
LTV CORPORATION	355	1,915	350	2,897	713	3,079	374	3,023
LEAR SIEGLER, INC.	1,146	1,966	892	1,964	731	2,338	814	1,806
LITTON INDUSTRIES, INC.	2,004	12,307	1,481	17,469	2,372	19,774	1,516	10,473
MAGNAVOX COMPANY	5,195	4,912	4,323	6,556	3,730	8,475	4,924	4,653
MARCOR, INC	560	1,709	436	1,833	554	1,624	761	1,440
MARTIN-MARIETTA CORP.	1,616	1,760	1,578	2,082	894	2,092	2,290	1,611
MCDONNELL DOUGLAS CORP.	1,803	6,542	499	7,235	589	7,547	2,179	5,873
MINNESOTA MINING & MFG. CO.	6,334	8,571	5,706	6,239	4,256	4,747	3,246	5,095
MONSANTO COMPANY	7,524	4,046	6,916	4,345	5,041	3,202	3,728	3,334
MOTOROLA, INC.	1,043	2,011	1,003	1,585	1,129	777	1,288	607
OCCIDENTAL PETROLEUM CO.	5,679	9,590	4,449	11,548	5,100	15,409	4,638	10,405
OWENS-ILLINOIS, INC.	918	2,597	601	2,001	534	1,239	890	1,306
PAN AMERICAN WORLD AIRWAYS	6,016	5,967	3,615	8,147	4,135	12,272	5,357	5,790
PASCO INCORPORATED	185	308	225	202	90	507	580	445
PENN CENTRAL COMPANY	3,375	5,663	3,252	8,604	2,529	8,067	10,269	6,965
PHILLIPS PETROLEUM CO.	3,209	8,117	2,381	6,828	2,205	5,495	2,276	10,830
POLARCID CORPORATION	6,173	7,197	4,071	5,754	3,845	4,456	4,398	3,812
PROCTER & GAMBLE CO.	2,305	9,206	2,268	7,684	1,774	3,211	1,670	5,248
R. J. REYNOLDS INDUSTRIES, IN	3,593	2,655	4,491	3,469	2,750	2,984	3,012	2,191
RCA CORPORATION	15,953	11,119	16,292	14,758	14,412	14,400	13,288	10,282
RAYTHEON COMPANY	548	747	479	839	382	594	1,062	987
REICHOLD CHEMICALS, INC.	422	619	331	521	222	632	361	502
ROCKWELL INTL. CORP.	3,357	2,996	2,266	3,464	1,408	3,166	1,016	2,204
SANTA FE INDUSTRIES	2,508	3,624	2,317	3,894	2,178	3,235	3,569	5,490
SCM CORPORATION	695	3,106	1,134	4,254	1,602	6,067	1,271	2,852
SEARS, ROEBUCK & CO.	6,950	12,571	6,424	14,227	5,676	8,604	6,322	9,685
SINGER COMPANY	5,697	2,718	4,807	4,602	5,720	2,951	4,267	2,861
SPERRY RAND CORPORATION	1,767	4,397	1,566	2,986	1,534	1,322	1,900	1,953
STANDARD OIL CO. OF CALIF.	13,648	7,516	10,744	8,423	8,466	4,618	10,884	8,175
TELEDYNE, INC	1,597	4,938	527	7,414	693	8,086	444	4,904
TENNECO, INC.	4,696	3,964	3,345	4,750	3,554	2,803	3,433	3,859
TEXACO INC	13,857	13,651	10,436	12,765	10,742	9,897	14,495	11,679
TEXASGULF INC.	1,204	3,195	711	1,893	1,096	2,604	1,418	4,848
TEXAS INSTRUMENTS, INC.	3,971	3,516	3,125	3,568	2,833	1,402	2,352	2,131
THIOKOL CHEMICAL CORP.	463	929	821	993	449	1,122	875	1,220
TRANS WORLD AIRLINES, INC.	4,485	1,506	4,337	2,308	2,485	2,229	1,737	1,959
UNION CARBIDE CORP.	13,753	6,937	9,173	7,258	8,172	7,757	8,446	5,385
UNITED AIRCRAFT CORP.	4,921	2,092	1,899	1,834	1,469	2,264	1,789	1,619
UNITED NUCLEAR CORP.	1,647	1,281	706	1,076	470	1,213	1,792	1,238
U.S. STEEL CORPORATION	1,295	8,334	1,909	14,462	1,905	15,446	1,907	13,974
WARNER LAMBERT CO.	3,579	4,939	2,511	5,584	2,572	4,052	3,381	3,097
WESTERN UNION CORPORATION	15,327	4,946	11,512	4,901	5,560	3,936	5,419	3,558
WESTINGHOUSE ELECTRIC CORP.	5,929	4,443	14,197	8,028	20,514	9,267	12,524	7,178
FW WOOLWORTH COMPANY	4,113	2,637	5,087	4,117	3,288	3,282	2,027	2,974
XEROX CORPORATION	8,755	17,263	8,979	14,877	9,274	7,855	8,180	8,541
TOTALS ***	585,648	618,060	472,143	664,170	411,725	580,832	454,021	561,511

ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMERICAN STOCK EXCHANGE
(SHARES)

Week Ended December 28, 1973

Trade Date 6		Total Round-Lot Transactions 9	Round-Lot Transactions of Members For Own Account[1]			Odd-Lot Transactions Of Customers[2] 41
			As Specialists[2] 18	As Floor Traders 26	Others 33	
Mon.	61 Purchases	2,195,695	254,570	27,900	113,850	19,085
	62 Sales–Total	2,195,695	294,630	9,900	75,175	53,971
	63 –Short	46,900	23,100	1,500	3,100	50
Tues.	64 Purchases	EXCHANGE CLOSED				
	65 Sales–Total					
	66 –Short					
Wed.	67 Purchases	3,361,935	436,360	67,100	199,550	27,738
	68 Sales–Total	3,361,935	462,810	46,100	120,000	76,040
	69 –Short	107,150	59,600	5,800	10,500	0
Thurs.	10 Purchases	4,175,675	502,410	88,700	277,650	36,045
	11 Sales–Total	4,175,675	555,930	42,900	128,950	100,602
	12 –Short	141,125	72,000	12,100	9,000	100
Fri.	13 Purchases	5,125,090	645,910	126,700	275,500	37,969
	14 Sales–Total	5,125,090	564,650	39,100	181,500	123,520
	15 –Short	106,020	63,310	3,400	3,300	0
WEEK	16 Purchases	14,858,395	1,839,250	310,400	866,550	120,837
	17 Sales–Total	14,858,395	1,878,020	138,000	505,625	354,133
	18 –Short	401,195	218,010	22,800	25,900	150

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AMEX 4

[1] The term "members" includes all regular and associate Exchange members, and member firms, general, special and limited partners in member firms, and voting and non-voting stockholders in member corporations.

[2] On the American Stock Exchange, all odd-lot transactions are handled by specialists, and their round-lot purchases and sales for odd-lot account are not segregated from their other round-lot transactions. In odd-lot transactions, customers' purchases are specialists' sales and customers' sales are specialists' purchases.

NOTE: All sales totals include short sales.

1/

FORM 144 FILINGS FOR WEEK ENDED 01/11/74

THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE WEEK INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE, CURRENT OR COMPLETE.

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
ACADEMY COMPUTING CORP		
COM		
COLLIER JOE HUBB	1,000	/ /
TOTAL.....	1,000	
ACTION INDS INC		
COM		
GRISHMAN ANNE COMAY	1,075	01/03/74
TOTAL.....	1,075	
ADVANCED MICRO DEVICES INC		
COM		
TRINITY CHRISTIAN ACADEMY	500	10/29/73
TOTAL.....	500	
ALAN WOOD STL CO		
COM		
WOOD WILLIAM P	1,000	12/24/73
TOTAL.....	1,000	
ALASKA INTL AIR INC		
COM		
ISACKSON ROY L	13,000	12/27/73
TOTAL.....	13,000	
ALDEN SELF TRAN SYS CORP		
COM		
RYCO & CO	1,100	11/09/73
TOTAL.....	1,100	
ALLIANCE MED INDS INC		
COM		
SUNRISE NURSING HOMES INC	7,600	12/24/73
TOTAL.....	7,600	
ALLIED CHEM CORP		
COM		
BROCKIE ARTHUR H	1,900	01/31/74
TOTAL.....	1,900	
ALLIS CHALMERS CORP		
COM		
BARTLEY BRUCE	3,332	12/28/73
TOTAL.....	3,332	
ALUMINUM CO AMER		
COM		
KISKIMINETAS SPRINGS SCHOOL	215	12/31/73
MAY CORDELIA SCAIFE FAMILY TRUST NO 1	3,000	01/02/74
NATIONAL COUNCIL ON ALCOHOLISM INC	155	01/04/74
SCAIFE RICHARD MELLON FAMILY TRUST NO 1	3,000	01/02/74
TRUST FBO SCAIFE SARAH M GRANDCHILDREN	6,000	01/02/74
TOTAL.....	12,370	
AMCOURT SYS INC		
COM		
BRITISH AMERICAN TRUST CORP LTD	3,500	01/04/74
TOTAL.....	3,500	
AMERICAN AIR FILTER INC		
COM		
BUESE RICHARD A	1,500	12/31/73
TOTAL.....	1,500	
AMERICAN BLDG MAINTENANCE INDS		
COM		
MICHELL GEORGE L	1,000	01/02/74
MICHELL GEORGE L	1,000	01/03/74
TOTAL.....	2,000	
AMERICAN FINL CORP OHIO		
COM		
BRAME ROSEMARY PETERS	6,267	01/10/74
NOLTING JOHN L	12,536	01/10/74
TOTAL.....	18,803	
AMERICAN FOUNDERS LIFE INS CO		
COM		
COFFMAN ROBERT W	1,000	12/31/73

1/ See Page 14.

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
STONE JERRY F JR	240	12/28/73
TOTAL.....	1,890	
ARMCO STL CORP		
COM		
ROSSON HARTWELL J	10,000	01/10/74
TOTAL.....	10,000	
ATWOOD OCEANICS INC		
COM		
YANEFF GEORGE M	12,000	12/31/73
TOTAL.....	12,000	
AUTOMATIC DATA PROCESSING INC		
COM		
ADAMS DAVID	1,000	12/10/73
BATEN MARVIN	100	12/21/73
BATEN SUSAN JILL	100	12/21/73
MARSHALL LEONARD	400	11/21/73
TESAURO SAMUEL J	5,000	12/21/73
UNITED JEWISH APPEAL OF PATERSON	200	12/20/73
TOTAL.....	6,800	
AUXTON COMPUTER ENTERPRISES		
COM		
AULT HARRY A	2,000	12/31/73
TOTAL.....	2,000	
BACHE & CO INC		
COM		
ROY JOHN O JR	8,000	12/26/73
TOTAL.....	8,000	
BAKER OIL TOOLS INC		
COM		
BROWN HAROLD L	9,095	01/04/74
TOTAL.....	9,095	
BANGOR PUNTA CORP		
COM		
GATOV MAX	825	12/19/73
TOTAL.....	825	
BARDEN CORP		
COM		
ROSENBERG JULIUS	500	12/26/73
TOTAL.....	500	
BARNETT BKS FLA INC		
COM		
CROSSWICKS FOUNDATION LTD	8,000	01/02/74
RICE CHARLES E	1,500	11/21/73
TOTAL.....	9,500	

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
BARRINGER RESH INC		
COM		
WHITEMAN DAVID LTD	1,500	03/31/74
TOTAL.....	1,500	
BAXTER LABS INC		
COM		
NAWOJ EDWARD J	6,000	12/24/73
TOTAL.....	6,000	
BECKMAN INSTRS INC		
COM		
BALLHAUS WILLIAM F	5,000	12/24/73
TOTAL.....	5,000	
BERNZ O MATIC CORP		
CL A		
GLAVIN JOHN H	1,300	12/28/73
TOTAL.....	1,300	
BETZ LABS INC		
COM		
BRITTON WILLIAM R	4,260	12/28/73
TOTAL.....	4,260	
BEVERLY HILLS BANCORP		
COM		
DICKERMAN ROBERT & MADELINE	3,000	12/18/73
TOTAL.....	3,000	
BIG THREE INDS INC		
COM		
GLASIER CHARLES M	1,000	12/20/73
SMITH HARRY K	2,000	01/02/74
TOTAL.....	3,000	
BLOCK ENGR INC		
COM		
DUNN S THOMAS	13,500	12/20/73
TOTAL.....	13,500	
BLUEBIRD INC		
COM		
FAZIOLI CLIVE B	1,000	12/26/73
TOTAL.....	1,000	
BOISE CASCADE CORP		
COM		
BRADFORD F DON	2,587	12/21/73
TOTAL.....	2,587	
BON AIRE INDS INC		
COM		
YEOVARD LOUIS	2,400	11/19/73
TOTAL.....	2,400	
BROWN TOM INC		
COM		
MORRISON RICHARD R JR	200	12/14/73
MORRISON RICHARD R JR	100	12/24/73
TOTAL.....	300	
BUCKBEE MEARS CO		
COM		
BETHEL COLLEGE & SEMINARY	625	01/04/74
SIOUX FALLS COLLEGE	1,250	01/03/73
TOTAL.....	1,875	
BUMBLEBERRY ENTERPRISES INC		
COM		
MACKLEY ELDON S	2,454	11/16/73
TOTAL.....	2,454	
BURNS H L CORP		
COM		
GREVICH JOHN J	2,500	12/31/73
HARDING ROBERT M	1,000	12/31/73
TOTAL.....	3,500	
CNA FINL CORP		
COM		
JEWISH FEDERATION COUNCIL OF LOS ANGELE	29,270	01/03/74

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
COM		
CARE INC	1,500	01/02/74
SALVATION ARMY	1,000	01/03/74
TOTAL.....	2,500	
CHARLES RIVER BREEDING LABS INC		
COM		
SLATER GILBERT M	507	/ /
TOTAL.....	507	
CLARKE CORP		
COM		
FIX WALTER	1,941	12/29/73
TOTAL.....	1,941	
COBE LABS INC		
COM		
AMERICAN JEWISH COMMITTEE	200	01/04/74
CUNNEALY MARGARET E	183	01/04/74
FILM SOCIETY OF LINCOLN CENTER	100	01/04/74
GRAND STREET SETTLEMENT	100	01/04/74
MAIMONIDES MEDICAL CENTER	200	01/04/74
TOWBIN A ROBERT	1,100	01/04/74
TOTAL.....	1,883	
COCA COLA CO		
COM		
CLEAVER JOHN C	1,000	11/29/73
MCGRORY JOHN J	300	01/31/74
TOTAL.....	1,300	
COLLINS FOODS INTL INC		
COM		
COLLINS JAMES A	22,200	12/27/73
MCBEE WALTER	5,000	01/04/74
TOTAL.....	27,200	
COLONIAL LIFE & ACC INS CO		
CL B		
JUDY J CLIFTON JR	20,000	01/03/74
TOTAL.....	20,000	
COLONIAL PENN GROUP INC		
COM		
UNITED JEWISH APPEAL OF GREATER N Y INC	470	01/03/74
ZETKOV FOUNDATION	5,525	12/20/73
TOTAL.....	5,995	
COMBUSTION ENGR INC		
COM		
ALLDRED PATRICIA J	600	01/02/74

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
AMCROSO CAROL R	500	01/02/74
BUSNER GEORGE H	3,850	12/27/73
BECKER GORDON J ELEONOR C	5,000	01/31/74
MORDIS BARBARA A CUST BEN	500	01/02/74
MORDIS JAMES CUST BEN	500	01/02/74
MORDIS JULIANNE L CUST BEN	700	01/02/74
MORDIS ROBERT C	10,000	12/31/73
MORDIS VICTOR A	4,000	01/02/74
MORDIS VICTOR H CUST BEN	700	01/02/74
MORDIS WILLIAM F	2,500	01/02/74
MORDIS WILLIAM JR CUST BEN	500	01/02/74
TOTAL.....	29,350	
COMBUSTION EQUIP ASSOC INC		
COM		
SIPPEL MARY FRANCES	500	12/31/73
TOTAL.....	500	
COMMERCE CLEARING HOUSE INC		
COM		
HART CHESTER C	750	01/02/74
MASSIE EDWARD L	400	01/04/74
TOTAL.....	1,150	
COMPREHENSIVE CARE CORP		
COM		
GREEN ALAN JR & JOAN	1,000	12/11/73
TOTAL.....	1,000	
COMPUTER DESIGN CORP		
COM		
TOMASZEWSKI CARLOS A	7,800	01/04/74
TOTAL.....	7,800	
COMPUTERVISION CORP		
COM		
GILL DONALD G	2,000	12/27/73
TOTAL.....	2,000	
CONSOLIDATED SILVER CORP		
COM		
SILVER CHIEFTAIN CO	65,430	12/14/73
TOTAL.....	65,430	
CONTROLLED ENERGY SYS INC		
COM		
GASPARIK MICHAEL T	5,000	12/18/73
TOTAL.....	5,000	
CONTROLS ENVIRONMENTAL		
COM		
THOMPSON LEWIS E	500	12/18/73
THOMPSON LEWIS E	1,350	12/19/73
TOTAL.....	1,850	
CORTERRA CORP		
COM		
DITTMER THOMAS	20,000	/ /
TOTAL.....	20,000	
COWLES COMMUNICATIONS INC		
COM		
AMERICAN ASSOCIATION OF UNIVERSITY WOME	1,000	11/13/73
TOTAL.....	1,000	
CROWN CORP		
COM		
MARINE ERWIN	400	01/08/74
TOTAL.....	400	
CUNNINGHAM ART PRODS INC		
COM		
CUNNINGHAM DAVID A JR	3,000	12/26/73

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
PRICE WILLIAM T	1,000	01/08/74
TOTAL.....	2,500	
DATA GEN CORP		
COM		
UNITED JEWISH APPEAL OF GREATER N Y INC	1,000	01/07/74
TOTAL.....	1,000	
DATA 100 CORP		
COM		
BAKER FENTRESS & CO	2,574	12/27/73
FISHER MARY ROSS REED	128	12/03/73
TOTAL.....	2,702	
DATAPOINT CORP		
COM		
TRUST FBO ROSENTHAL JAMIE G & RICHARD L	7,844	12/21/73
TOTAL.....	7,844	
DEKALB AGRESEARCH INC		
CL B		
NELSON JOHN R	1,280	01/04/74
ZLETZ MORTYN K	3,416	01/01/74
TOTAL.....	4,696	
DENNYS INC		
COM		
SATTLER KATHLEEN C	1,716	12/31/73
TOTAL.....	1,716	
DENTALLOY INC		
COM		
MCGILLICUDDY JOSEPH M	3,337	01/02/74
TOTAL.....	3,337	
DENTO MED INDS INC		
COM		
NATIONAL PATENT DEVELOPMENT CORP	22,457	01/31/74
TOTAL.....	22,457	
DIGICON INC		
COM		
PRINCE EDWARD R JR	4,000	01/03/74
TOTAL.....	4,000	
DIONICS INC		
COM		
GROSS FAIGIE	1,700	12/31/73
TOTAL.....	1,700	
DR PEPPER CO		
COM		
ESTATE OF VAUGHN G H	10,000	12/28/73
TOTAL.....	10,000	
DRAGE INDS INC		
COM		

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
DRAGE JACK E	18,000	/ /
TOTAL.....	18,000	
DUN & BRADSTREET INC		
COM		
CANNING GORDON JR	862	12/28/73
TOTAL.....	862	
DYNALECTRON CORP		
COM		
GRIFFIN WILLIAM SLOAN	25,275	01/02/74
TRENT WILLIAM L JR	20,000	12/24/73
TOTAL.....	45,275	
ERC CORP		
COM		
SMITH W EMERSON & ISABEL	1,000	01/03/74
TOTAL.....	1,000	
EARTH SCIENCES INC		
COM		
MCKAIN LLOYD L		/ /
RISK GORDON TUCKER	1,000	01/02/74
TOTAL.....	1,000	
ECHLIN MFG CO		
COM		
OLEFSON FREDRIC D	19,398	/ /
TOTAL.....	19,398	
ECKERD JACK CORP		
COM		
FIRESTONE GEORGE	7,800	01/03/74
MARCUS MARVIN A	7,800	01/03/74
TOTAL.....	15,600	
ELECTRO NUCLEONICS INC		
COM		
SNAPP ROY B	2,000	12/13/73
TOTAL.....	2,000	
ELECTRONIC ARRAYS INC		
COM		
BLOOM MAXWELL M	175	10/04/73
JONES FRANK CHRISTOPHER	1,450	01/04/74
TOTAL.....	1,625	
ELIXIR INDS		
COM		
CALIFORNIA INDIAN LEGAL SERVICES	10,000	01/31/74
EDUCATIONAL IMPACT PROJECT	10,000	01/31/74
TOTAL.....	20,000	
ENVIROCYNE INC		
COM		
VOORHEES ALAN M	1,000	01/07/74
TOTAL.....	1,000	
ENVIRONMENT-ONE CORP		
COM		
DOYLE WILLIAM T	750	01/10/74
TOTAL.....	750	
EQUITABLE BANCORPORATION		
COM		
MERRICK ROBERT G JR	4,000	12/18/73
TOTAL.....	4,000	
EQUITY NATL INDS INC		
COM		
PENDERGRAST THOMAS J	904	12/31/73
TOTAL.....	904	
EQUITY OIL CO		
COM		
DOUGAN PAUL M	1,000	01/03/74
TOTAL.....	1,000	
EUREKA PRODS CO		
COM		

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
LAW THEODORE N	500	12/28/73
TOTAL.....	500	
FARINON ELEC INC		
COM		
GESSERT EDWARD C	1,000	01/03/74
TOTAL.....	1,000	
FEDDERS CORP		
COM		
RICHITELLI EDWARD	1,000	12/20/73
TOTAL.....	1,000	
FEDERAL MOGUL CORP		
COM		
MACARTHUR SAMUEL E	1,700	12/28/73
TOTAL.....	1,700	
FINNIGAN CORP		
COM		
SANDER THEODORE THIRD	1,000	01/04/74
WILSON MARILY P	3,499	/ /
TOTAL.....	4,499	
FIRST PIEDMONT CORP		
COM		
BISHOP BENJAMIN C JR	2,000	01/03/74
TOTAL.....	2,000	
FISHER FOODS INC		
COM		
DIMATTEO FAMILY FOUND	500	01/07/74
TOTAL.....	500	
FLEXIBLE CIRCUITS INC		
COM		
SCHULTZ DANIEL H	1,500	12/31/73
TOTAL.....	1,500	
FLEXSTEEL INDS INC		
COM		
PETERSON JAMES G	2,000	12/26/73
TOTAL.....	2,000	
FLUOR CORP		
COM		
CASA DE LAS AMIGAS	275	01/03/74
COMMUNITY TELEVISION OF SO CALIF	300	01/02/74
LOYOLA MARYMOUNT UNIVERSITY	100	01/03/74
MAYFIELD SCHOOL OF THE HOLY CHILD JESUS	544	12/31/73
MCCLINTOCK ROSS A	2,799	12/27/73
NEHER HAROLD J	2,351	12/27/73
UNITED WAY INC	190	12/31/73

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
PFD SER B CONV $3		
MCCLINTOCK RUSS A	400	12/27/73
TOTAL.....	6,959	
FOXBORO CO		
COM		
REXFORD BRISTOL TRUST 1966	800	12/21/73
TOTAL.....	800	
FRESNILLO CO		
COM		
INTERNATIONAL MINING CORP	10,000	01/03/74
TOTAL.....	10,000	
GCI INTL INC		
COM		
JACKSON BYRON M JR	388	/ /
TOTAL.....	388	
GRT CORP		
COM		
BLOCK BERNARD	1,786	01/03/74
URFINE EDWARD A & MARYALICE	1,500	12/21/73
TOTAL.....	3,286	
GALAXY OIL CO		
COM		
ADAMS BROTHERS	6,000	12/27/73
TOTAL.....	6,000	
GARDNER DENVER CO		
COM		
SHERWOOD B P JR	500	12/28/73
TOTAL.....	500	
GENERAL AUTOMATION INC CALIF		
COM		
ADVANI MURLI C	10,500	01/10/74
TOTAL.....	10,500	
GENERAL EDUCATIONAL SVCS CORP		
COM		
DIXON PETER T	11,890	12/28/73
TOTAL.....	11,890	
GENOVA INC		
COM		
WILLIAMS ROBERT F	1,400	12/27/73
TOTAL.....	1,400	
GENWAY CORP		
COM		
AMERICAN DUALVEST FUND INC	68,870	01/03/74
TOTAL.....	68,870	
GEORGE WASHINGTON CORP		
COM		
SMITH J OHARA	5,000	01/01/74
TOTAL.....	5,000	
GERIATRICS INC		
COM		
VOGEL EDWARD D & EDITH M	600	12/24/73
TOTAL.....	600	
GILMAN SVCS INC		
COM		
HANOVER NORMAN	2,100	01/03/74
TOTAL.....	2,100	
GOLDEN CREST INC		
COM		
BLOOM WILLIAM	15,000	01/07/74
TOTAL.....	15,000	
GOLDEN CYCLE CORP		
CCP		
GOODMAN MAURICE	3,500	01/02/74
GOODMAN MAURICE	4,600	01/07/74
TOTAL.....	8,100	
GOLDEN ST HEALTH CTRS INC		
COM		
CHERNICKY PETE	31,775	12/26/73
TOTAL.....	31,775	
HADA ENTERPRISES LTD		
COM		
KIRCHMAN DANIEL	4,900	12/27/73
TOTAL.....	4,900	
HARTFIELD ZODYS INC		
COM		
UNITED WAY INC	2,600	01/04/74
TOTAL.....	2,600	
HAYDEN STONE INC		
COM		
ASKEW JOHN D	9,600	03/02/74
TOTAL.....	9,600	
HAYES ALBION CORP		
COM		
SULLIVAN JOHN F JR	600	12/31/73
TOTAL.....	600	
HECKS INC		
CL A		
UNITED JEWISH FEDERATION OF PITTSBURGH	500	12/28/73
TOTAL.....	500	
HEINICKE INSTRS CO		
COM		
REIF ISADORE M	1,000	12/28/73
TYRE MILTON S	1,387	12/28/73
TOTAL.....	2,387	
HELMERICH & PAYNE INC		
COM		
HELMERICH W H THIRD	6,000	01/15/74
HELMERICH W H 1973 TRUST	1,000	12/28/73
TRUST FBO HELMERICH CADIJAH C	1,000	12/28/73
TRUST FBO HELMERICH CADIJAH C	1,000	01/02/74
TOTAL.....	9,000	
HERSHBERGER ENTERPRISES INC		
COM		
RUPPERT RICHARD J	2,469	11/20/73
TOTAL.....	2,469	
HESSTON CORP		
COM		
DYCK HAROLD P	2,725	12/19/73
TOTAL.....	2,725	
HICKOK ELECTRICAL INSTR CO		
CL A		
KIMMEN EDWARD & JACQUELINE L	203	12/31/73

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
TOTAL.....	203	
HOME BEN CORP		
CL A		
SECOND PRESBYTERIAN CHURCH	406	12/24/73
CL B		
LITTLE SISTERS OF THE POOR IN RICHMOND	203	12/28/73
UNITED GIVERS FUND	223	12/28/73
WESTMINSTER CANTERBURY CORP	2,640	12/28/73
TOTAL.....	3,472	
HON INDS INC		
COM		
STANLEY FOUND	5,000	12/28/73
TOTAL.....	5,000	
HOWELL CORP		
COM		
WARNE JAMES E JR	8,000	01/03/74
TOTAL.....	8,000	
HUNT PHILIP A CHEM CORP		
CL A		
COLES GERALDINE H	7,500	01/02/74
TOTAL.....	7,500	
HUTTON E F & CO		
COM		
NICOLETTI WILLIAM P	800	01/02/74
TOTAL.....	800	
HYDRO OPTICS INC		
COM		
YAMINS JACK L	500	01/04/74
TOTAL.....	500	
HYDROMATION FILTER CO		
COM		
CAVE ROBERT L	2,000	/ /
TOTAL.....	2,000	
HYDRON EUROPE INC		
COM		
NASH JACK	5,000	01/08/73
TOTAL.....	5,000	
ICM RLTY		
SH BEN INT		
MANUFACTURERS HANOVER TRUST CO	1,624	01/04/74
TOTAL.....	1,624	
INDUSTRIAL NUCLEONICS CORP		
COM		
ART INSTITUTE OF CHICAGO	1,000	01/04/74
LAKE BLUFF PARK DISTRICT	900	01/07/74
UNIVERSITY OF CHICAGO	550	01/07/74
TOTAL.....	2,450	
INFORMATION MAGNETICS CORP		
COM		
RAMSTROM ERIC P	500	12/20/73
TOTAL.....	500	
INSTRUMENTATION LAB INC		
COM		
GORDON FUND	6,200	12/31/73
TOTAL.....	6,200	
INTEL CORP		
COM		
EARHART PATRIC J	30	01/03/74
LOS ANGELES CENTER FOR GROUP PSYCHOTHER	100	01/03/74
WINTER STANLEY A	600	01/07/74
TOTAL.....	730	
INTELCOM INDS INC		
COM		
GRAVELLE CHARLES W	3,000	12/31/73
TUREEN ROSE	5,532	/ /
TOTAL.....	34,164	
INTERNATIONAL MNG CORP		
COM		
CNEILL PATRICK H	7,000	01/07/74
TOTAL.....	7,000	
INTERNATIONAL RTY & OIL CO		
COM		
KAMON ROBERT	19,000	01/02/74
TOTAL.....	19,000	
INTERNATIONAL SYS & CTLS CORP		
PFD CONV SER 2H $1.50		
JOSEPH J BARTHELL JR	3,106	11/15/73
REED CLARKE T	3,106	11/15/73
TOTAL.....	6,212	
INTERSIL INC		
COM		
MACALUSO ANTHONY J	500	11/01/73
TOTAL.....	500	
INTEXT INC		
COM		
CONNELL ROBERT J		12/30/73
TOTAL.....		
JETCO INC		
COM		
UNGER RONALD A	2,000	01/04/74
TOTAL.....	2,000	
JOHNSON & JOHNSON		
COM		
SMITH SUSAN	250	01/02/74
TOTAL.....	250	
KATHOL PETE INC		
COM		
SELTSAM D K	5,000	12/28/73
TOTAL.....	5,000	
KAUFMAN & BROAD INC		
COM		
JEWISH FEDERATION COUNCIL OF GREATER LA	8,000	01/02/74
TOTAL.....	8,000	
KEENE CORP		
COM		
ALEXANDER LOUISE	240	12/26/73
CORINE HEALEY FAIMILY TRUST	120	12/26/73
CNEILL CORINNE F	120	12/26/73
CNEILL FRANCIS J TRUST	1,080	12/26/73

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
ONEILL H M TRUST	720	12/26/73
ONEILL P J	120	12/26/73
ONEILL SYNDICATE	240	12/26/73
ONEILL WILLIAM J SR TRUST	960	12/26/73
TOTAL.....	3,600	
KIDDE WALTER & CO INC		
COM		
SHERMAN GERTRUDE	4,700	01/01/73
SHERMAN MONROE	5,300	01/01/74
TOTAL.....	10,000	
KRATOS		
COM		
DAHLGREN RICHARDSON & CO INC	1,000	01/03/74
TOTAL.....	1,000	
LSL CORP		
COM		
MCCOLLUM PAUL	5,600	12/18/73
TOTAL.....	5,600	
LAND RES CORP		
SUB DEB CONV		
COHEN JEROME J	50,000	12/24/73
TOTAL.....	50,000	
LATROBE STL CO		
COM		
SAXMAN MARCUS W THIRD	1,604	12/28/73
TOTAL.....	1,604	
LEADVILLE CORP		
COM		
NEUHOFF HENRY JR	5,000	01/04/74
TOTAL.....	5,000	
LENOX INC		
COM		
TURNER FRANK D TRUST	5,000	01/09/74
TOTAL.....	5,000	
LIGHTOLIER INC		
COM		
BERK D THEODORE	200	12/28/73
TOTAL.....	200	
LOWES COS INC		
COM		
EARLY GLENN WILLIAM	1,000	01/31/74
MCNEIL WILEY E JR	5,000	12/28/73
TOTAL.....	6,000	
LUNN LAMINATES INC		
COM		
LUNN NATALIE	3,000	12/24/73
TOTAL.....	3,000	
MCA INC		
COM		
JEWISH FEDERATION COUNCIL OF GREATER LA	1,400	12/31/73
TOTAL.....	1,400	
MACRUSE INDS CORP		
COM		
SOLOMON HERBERT W	3,200	12/28/73
TOTAL.....	3,200	
MALLINCKROUT CHEM WKS		
CL A		
ABERLIN EDWARD H	2,610	01/02/74
ABERLIN ISADOR	2,625	01/02/74
TOTAL.....	5,235	
MANHATTAN INDS INC		
COM		
STENGEL LOUIS C JR	3,000	12/27/73
SCHUBOT RICHARD M	5,400	12/19/73
WEITZMAN JAMES M	300	01/08/74
TOTAL.....	11,134	
MCQUAY PERFEX INC		
COM		
ST MARTINS BY THE LAKE EPISCOPAL CHURCH	100	01/02/74
TOTAL.....	100	
MEASUREX CORP		
COM		
BOSSEN ALISON STEPHENS CUST BEN	200	12/24/73
BOSSEN AMY NICHOLS CUST BEN	200	12/24/73
BOSSEN JULIE ANN CUST BEN	200	12/24/73
BOSSEN LAURA EBERHART CUST BEN CUST BEN	200	12/24/73
ESTATE OF LARSON WILLIAM	2,100	12/28/73
GREENE FRANK P	1,000	12/24/73
LARSON JOHN W	4,750	12/28/73
LARSON JOHN W		12/28/73
TOTAL.....	8,650	
MEDENCO INC		
COM		
JACKSON L J	1,000	12/10/73
TOTAL.....	1,000	
MEDICAL SCIENTIFIC INTL CORP		
COM		
SALTARELLI MELBA R	1,500	01/10/74
TOTAL.....	1,500	
MEDISCIENCE TECHNOLOGY CORP		
COM		
GELB HAROLD	1,000	01/04/74
KEYSTONE CAPITAL CORP	8,500	01/07/74
TOTAL.....	9,500	
MERCK & CO INC		
COM		
MERCK ALBERT W	100	11/10/73
TOTAL.....	100	
MERVYN S		
COM		
KAHN RUBERT	800	12/12/73
TOTAL.....	800	
MICROBIOLOGICAL SCIENCES INC N Y		
COM		
KELSEY RONALD	11,250	01/02/74
TOTAL.....	11,250	

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
MICRODATA CORP		
COM		
GREEN DAVID J	3,800	01/02/74
TOTAL.....	3,800	
MICROFORM DATA SYS INC		
COM		
MARK SYSTEMS INC	5,000	01/04/73
TOTAL.....	5,000	
MICROWAVE SEMICONDUCTOR CORP		
COM		
DEVOUGH PATRICK L	2,000	12/31/73
TOTAL.....	2,000	
MID CENT PPTYS LTD		
COM		
BANQUE DE GESTION PRIVEE	2,500	01/07/74
TOTAL.....	2,500	
MID-TEX COMMUNICATIONS SYS INC		
COM		
ESTES BURGESS H	1,000	12/27/73
TOTAL.....	1,000	
MIDLAND GLASS INC		
COM		
MERKLE EDWARD A	4,500	01/04/74
TOTAL.....	4,500	
MILLER INDS INC		
COM		
GREATER MIAMI JEWISH FEDERATION	650	01/10/74
TOTAL.....	650	
MITCHUM JONES & TEMPLETON INC		
COM		
CALIN EDWARD	1,000	12/14/73
FORTE ORVILLE W THIRD	500	01/04/73
JONES RICHARD W	10,300	12/20/73
LUPIEN WILLIAM A	8,800	12/28/73
TOTAL.....	20,600	
MOBIL OIL CORP		
COM		
CLEWELL DAYTON H	200	12/28/73
TOTAL.....	200	
MOLEX INC		
COM		
WIESER RAYMOND	150	01/03/74
TOTAL.....	150	
MONITOR LABS INC		
COM		
SCHILLER ROBERT	3,944	01/04/74
TOTAL.....	3,944	
MONUMENTAL CORP		
COM		
WILLIAMS MARVIN S	562	12/21/73
TOTAL.....	562	
MYERS INDS INC		
COM		
GREATER MIAMI JEWISH FEDERATION	2,000	12/27/73
TOTAL.....	2,000	
NATIONAL CHEMSEARCH CORP		
COM		
LEVY LESTER A	10,000	01/04/74
TOTAL.....	10,000	
NATIONAL CSS INC		
COM		
COLE JEFFREY A	790	01/10/74
GLAZER RICHARD	500	03/28/74
GREENSTEIN RICHARD H	5,000	03/31/74
RIEVMAN ALAN C	900	03/26/74
WEEKES BARRET B	11,000	12/26/73
TOTAL.....	11,000	
NOBLE METALS INC		
COM		
NORD VERNON		/ /
TOTAL.....		
NORTH CENT AIRLS INC		
COM		
SKOGLUND H P	30,000	12/31/73
TOTAL.....	30,000	
OCEANOGRAPHY MARICULTURE INDS INC		
COM		
LIPPMAN ALFRED J	1,500	01/10/74
SLOTE EDWIN M	19,250	10/15/73
TOTAL.....	20,750	
OMNI SPECTRA INC		
COM		
PETERSEN GARY G	134	12/10/73
PETERSEN GARY G	232	11/26/73
TOTAL.....	366	
OPTICAL COATING LAB INC		
COM		
ILLSLEY ROLF F	2,500	01/07/74
TOTAL.....	2,500	
P & F INDS INC		
CL A		
SIEGEL BARBARA E	20,000	01/10/74
TOTAL.....	20,000	
P E C INDS INC		
COM		
STERN DAVID	559	12/20/73
TOTAL.....	559	
PAINE WEBBER JACKSON & CURTIS INC		
COM		
CONKLIN ALLAN B	10,000	01/04/74
CONNELLY DAVID P	1,000	01/04/74
TOTAL.....	11,000	
PAKCO COS INC		
COM		
SHERE RALPH H	455	12/27/73
TOTAL.....	455	
PAKO CORP		
COM		
ASSOCIATES BENEROFE	3,213	01/02/74
BENEROFE ANDREW	1,011	01/02/74
BENEROFE ANDREW JAMES & MITCHELL	228	01/02/74

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
BENEROFE SHIRLEY	733	01/02/74
ESTATE OF BENEROFE SIDNEY	1,532	01/02/74
PORT CHESTER ELECTRIC	3,240	01/02/74
TOTAL.....	9,957	
PARKER DRILLING CO		
CCM		
HOUCK TED B	4,000	12/24/73
TOTAL.....	4,000	
PAUL HARRIS STORES INC		
CCM		
JEWISH WELFARE FEDERATION	1,000	12/19/73
TOTAL.....	1,000	
PEABODY GALION CORP		
CCM		
MCCONNAUGHY JOHN E JR	3,000	12/17/73
MCCONNAUGHY JOHN E JR	2,000	01/03/74
TOTAL.....	5,000	
PENN FRUIT INC		
CCM		
COOKE JAMES	800	12/31/73
TOTAL.....	800	
PENNEY J C INC		
CCM		
AMERICAN FRIENDS OF THE HEBREW UNIVERSI	75	12/20/73
TOTAL.....	75	
PENNSYLVANIA LIFE CO		
CUM		
MERKOW EDWARD L	11,700	12/20/73
TOTAL.....	11,700	
PERKIN ELMER CORP		
CCM		
GRAY WINIFRED P TRUST	350	12/20/73
TOTAL.....	350	
PETERSON HOWELL & HEATHER INC		
CCM		
MCPHERSON ALAN FORBES	5,000	01/04/74
TOTAL.....	5,000	
PETRIE STORES CORP		
CCM		
UNITED JEWISH APPEAL OF GREATER NY INC	100	01/04/74
TOTAL.....	100	
PICKWICK INTL INC		
CCM		
UNITED JEWISH APPEAL OF GREATER NY INC	200	12/31/73
TOTAL.....	200	
PIONEER NURSING CTRS INC		
CCM		
ROCHE JOHN E	2,600	/ /
TOTAL.....	2,600	
PIZZA HUT INC DEL		
CCM		
CARNEY DANIEL M	20,000	12/19/73
WAGNON ROBERT T	1,000	12/27/73
TOTAL.....	21,000	
POPE EVANS & ROBBINS INC		
CCM		
NOTARO PETER	60	01/07/74
TOTAL.....	60	
PRENTICE HALL INC		
CCM		
HIESTER OLIVER M	100	11/26/73
TOTAL.....	100	
PULLMAN INC		
CCM		
PETTEY JAMES R & MARION P	300	01/02/74

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
CARPENTER DON G	1,000	12/31/73
TOTAL.....	1,100	
RAPICATA INC		
CCM		
OBRIEN R J	400	01/02/74
OBRIEN R J	400	01/03/74
TOTAL.....	800	
READING & BATES OFFSHORE		
CCM		
TWEEDY JOHN B	1,000	01/04/74
TOTAL.....	1,000	
REALCO INC		
CCM		
DOTY W GUSTAVE	700	12/15/73
TOTAL.....	700	
RECREAMA INC		
CCM		
COLANGELO MICHAEL	5,000	12/03/73
TOTAL.....	5,000	
REDMAN INDS INC		
CCM		
GUERIN DEAN P	1,000	12/28/73
TOTAL.....	1,000	
REPUBLIC CORP		
CCM		
BRANDT ROBERT J & ADELAIDE L	619	12/31/73
DASCO XVII	838	11/21/73
TRUST UNK S BADALAMENTE ET AL TRUSTEES	288	12/26/73
TRUST UNK S BADALAMENTE ET AL TRUSTEES	115	12/26/73
WARREN NORMA A	962	12/26/73
TOTAL.....	2,822	
RETIREMENT LIVING INC		
CCM		
SHARP VICTORIA HARTMANN	1,012	07/25/73
TOTAL.....	1,012	
REVENUE PPTYS LTD		
CCM		
ALLIED CHEMICAL CORP PENSION TRUST	3,000	12/27/73
AMER BANKER ASSOC EMPL RETIR PL TRUST	1,500	12/27/73
BERT BELL NFL PLAYER RETIR PL TRUST	6,000	12/27/73
DIAMOND INTL CORP COLLECTIVE INVT TRUST	5,000	12/27/73
EXXON CORP ANNUITY FUND	15,000	12/27/73
GEN PORTLAND CEMENT CO-RETIR PL TRUST	3,000	12/27/73

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
GREAT NORTHN PAPER CO PEN PL TRUST	2,000	12/27/73
ICI AMERICAN INC PEN TRUST	10,000	12/27/73
MAY DEPT STORES CO EMPLS RETIR PL TR FU	5,000	12/27/73
MOBIL OIL CORP PEN PL TRUST	10,000	12/27/73
TIMES PICAYUNE PUB CORP PROF SHA RET PL	1,500	12/27/73
TRANS WLD AIRLS INC PILOTS ANNUITY TRUS	8,500	12/27/73
US TRUST CO NY POOLED PEN PROF SHA TRUS	15,000	12/27/73
TOTAL.....	85,500	
RICHMOND CORP		
COM		
ST CHRISTOPHERS SCHOOL FOUNDATION	30	12/27/73
ST STEPHENS EPISCOPAL CHURCH	44	12/27/73
TOTAL.....	74	
RIDDER PUBNS INC		
COM		
ARCHDIOCESE OF ST PAUL & MINN	300	12/20/73
TOTAL.....	300	
RIO GRANDE INDS INC		
COM		
SCHULZE WALTER B	32,550	01/02/74
TOTAL.....	32,550	
ROCKER CO		
COM		
SHAFFER DONALD U	1,000	01/07/74
TOTAL.....	1,000	
ROCKER PHARMACAL INC		
COM		
BERNHARDT JAMES A	150	10/15/73
TOTAL.....	150	
RYDER SYS INC		
COM		
PETRINA MICHAEL J	4,482	12/24/73
TOTAL.....	4,482	
SABINE RTY CORP		
COM		
HAWKINS A L JR & ELAINE M	500	12/26/73
HOCKADAY SCHOOL INC	500	12/28/73
TOTAL.....	1,000	
SCHLAGE LOCK CO		
COM		
LELAND STANFORD JUNIOR UNIVERSITY	500	12/31/73
TOTAL.....	500	
SCHLITZ JOS BREWING CO		
COM		
ST ANTHONY HOSPITAL	100	01/04/74
UIHLEIN REVEN A	200	12/28/73
TOTAL.....	300	
SCHLUMBERGER LTD		
COM		
JUILLIARD SCHOOL	150	/ /
TOTAL.....	150	
SCIENTIFIC COMPONENTS INC		
COM		
FRIEDMAN MAURICE	1,400	/ /
TOTAL.....	1,400	
SCOTT PAPER CO		
COM		
SUTPHIN SAMUEL R	400	11/30/73
THIEME ROBERT I	3,980	/ /
TOTAL.....	4,380	
SEA CONTAINERS INC		
COM		
LOWENKRON MICHAEL	6,892	12/24/73
TOTAL.....	6,892	
SERVICEMASTER INDS INC		
COM		
BLAIR EDWARD MCCORMICK	1,118	01/07/74
JANNOTTA EDGAR D	373	01/07/74
TOTAL.....	1,491	
SEVEN UP CO		
COM		
SMITH COLLEGE	1,000	12/26/73
TOTAL.....	1,000	
SHAPELL INDS INC		
COM		
NOTTINGHAM H ARTHUR		01/03/74
TOTAL.....		
SHENANDOAH OIL CORP		
COM		
KELLENBERGER B J	2,000	12/31/73
TOTAL.....	2,000	
SHOWBOAT INC		
COM		
MOUSSELS J KELL JR	1,500	12/31/73
TOTAL.....	1,500	
SIGMA INTL LTD		
COM		
SCHMIDT MELVIN A	1,000	01/04/74
TOTAL.....	1,000	
SILVER SCOTT MINES INC		
COM		
LACZAY PETER W	6,500	/ /
TOTAL.....	6,500	
SOONER LIFE INS CO		
COM		
BURNS JOHN W	1,000	01/02/74
TOTAL.....	1,000	
SOUTHLAND CORP		
COM		
ESTATE OF OVERTON EVELYN L	1,000	12/31/73
OVERTON W W JR	3,000	12/31/73
TOTAL.....	4,000	
SPECTRA PHYSICS INC		
COM		
HEIZER CORP	26,100	01/03/74
TOTAL.....	26,100	
SPRAGUE ELEC CO		
COM		

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
SPRAGUE FLORENCE & ROBERT FOUNDATION	400	12/31/73
TOTAL.....	400	
SQUARE D CO		
CCM		
TRUST FBO SCHULER MARGARET D	1,000	08/08/73
TOTAL.....	1,000	
STANDARD BRANDS PAINT CO		
CCM		
BUCHALTER IRWIN R	5,000	01/03/74
TOTAL.....	5,000	
STANDEX INTL CORP		
CCM		
MUSEUM OF SCIENCE	20	01/07/74
TOTAL.....	20	
SUMMIT GROUP INC		
CL A		
SILVER FREDRIC M	1,400	/ /
TOTAL.....	1,400	
SUN OIL CO		
CCM		
HOWARD JOANN SWENEY	200	01/07/74
TOTAL.....	200	
SUPERIOR OIL CO		
CCM		
BIG BROTHERS OF GREATER LOS ANGELES	150	01/04/74
TOTAL.....	150	
SUPERIOR SILVER MINES INC		
CCM		
KIBLER THOMAS	59,000	12/31/73
TOTAL.....	59,000	
SYSTEMS ENGR LABS INC		
CCM		
GREENE NATHAN J	5,000	12/28/73
TOTAL.....	5,000	
TCC INC		
CCM		
MCBEE FRANK W JR	5,000	12/28/73
TOTAL.....	5,000	
TOA INDS INC		
COM		
BERGE ERIC	45,434	12/10/73
TOTAL.....	45,434	
TANDY CORP		
CCM		
SWAIN HARLAN	1,000	12/21/73
TOTAL.....	1,000	
TELCO MARKETING SVCS INC		
CCM		
BOLGER DAVID	3,928	01/14/74
TOTAL.....	3,928	
TELESCIENCES INC		
CCM		
BECKER TECHNOLOGICAL ASSOCIATES	26,700	12/27/73
TOTAL.....	26,700	
TENNANT CO		
CCM		
SCHROEDER TRU LLOYD HALE LIVING	3,000	01/03/74
TOTAL.....	3,000	
TERADYNE INC		
COM		
ALBACORP INC	4,834	12/31/73
TOTAL.....	4,834	
TESORO PETE CORP		
CCM		
RABBINICAL COLLEGE OF TELSHE	375	12/27/73
WATSON N J	1,000	01/03/74
WESTCOAST TEACHERS COLLEGE YESHIVA UNIV	1,000	12/17/73

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
TOTAL.....	1,000	
TRANS UN CORP		
CCM		
ANNABELLA & GIZELLA FARKAS TRUST	15,000	12/21/73
TOTAL.....	15,000	
TRITON OIL & GAS CORP		
CCM		
HOWELL VERNON C	1,375	01/02/74
TOTAL.....	1,375	
ULTRASONIC SYS INC		
COM		
MOTT STEWART R	1,500	02/01/74
TOTAL.....	1,500	
UNITED CANSO OIL & GAS LTD		
CCM		
SINCLAIR JOHN T JR	3,000	12/31/73
TOTAL.....	3,000	
UNITED FIRST FLA BKS INC		
CCM		
SMATHERS BENJAMIN F	4,000	12/27/73
TOTAL.....	4,000	
UNITED STATES SURGICAL CORP		
CCM		
SCHOELLER & CO	18,795	01/01/74
TOTAL.....	18,795	
UTAH INTL INC		
COM		
KIMBALL WILLIAM R JR	1,000	01/04/73
SAN FRANCISCO FOUNDATION	500	01/03/74
TOTAL.....	1,500	
VALLEY INDS INC		
CCM		
ODEN RUFUS L	1,500	/ /
TOTAL.....	1,500	
WACHOVIA CORP		
COM		
BROWN MELVIN L	13,075	01/03/74
TOTAL.....	13,075	
WASTE MGMT INC		
COM		
BENTON CHRISTINE M	5,000	12/31/73
TOTAL.....	5,000	
WAVETEK		
COM		
COMPUTER INFORMATION SYS INC	1,000	12/26/73
TOTAL.....	1,000	
WESTBURY FASHIONS INC		

ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER / ISSUE / SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
CCM					
UNITED JEWISH APPEAL OF GREATER NY INC	7,000	01/08/74			
TOTAL.....	7,000				
WESTCHESTER CORP					
CCM					
MCYERS SCOTT	2,050	12/31/73			
TOTAL.....	2,050				
WESTERN CO NORTH AMER					
CCM					
PARNILL CHARLES R & BILLIE L	3,000	01/02/74			
TOTAL.....	3,000				
WESTERN UN CORP					
CCM					
BLAU SEYMOUR D	9,703	12/20/73			
TOTAL.....	9,703				
WESTINGHOUSE ELEC CORP					
CCM					
BENTON ELLY B	500	12/24/73			
BLAKESLEE MILES G JR	2,000	12/01/73			
TOTAL.....	2,500				
WEYERHAEUSER CO					
CCM					
PARDEE GEORGE MAGILL JR	2,000	12/28/73			
PARDEE GEORGE MAGILL JR	6,000	01/03/74			
PARDEE GEORGE MAGILL JR	4,466	01/04/74			
PARDEE HOYT SWIFT	2,000	12/28/73			
PARDEE HOYT SWIFT	4,300	01/04/74			
PARDEE HOYT SWIFT	6,000	01/03/74			
PARDEE JAMES DOUGLAS	2,000	12/28/73			
PARDEE JAMES DOUGLAS	4,300	01/04/74			
PARDEE JAMES DOUGLAS	6,000	01/03/74			
TOTAL.....	37,066				
WITCO CHEM CORP					
CCM					
AMERICAN MUSEUM OF NATURAL HISTORY	500	12/20/73			
TOTAL.....	500				
WITTER DEAN & CO INC					
CCM					
STACK L PRATHER JR	10,000	12/21/73			
TOTAL.....	10,000				
WRIGHT AIR LINES INC					
CCM					
LUNTZ TED M	6,250	12/17/73			
TOTAL.....	6,250				
YONDATA CORP					
CCM					
RAPOWITZ BERTRAM	5,000	12/28/73			
TOTAL.....	5,000				

FORM 8-K FILINGS AND AMENDMENTS PROCESSED FOR THE WEEK ENDED 01/11/74 1/

THE COMPANIES LISTED BELOW HAVE FILED REPORTS ON FORM 8-K FOR THE PERIODS INDICATED, RESPONDING TO THE ITEM NUMBERS SHOWN IN THE COLUMN. AMENDMENTS TO REPORTS PREVIOUSLY FILED ARE ALSO LISTED, IDENTIFYING THE ITEM OR ITEMS AMENDED. PHOTOCOPIES OF SUCH REPORTS AND AMENDMENTS MAY BE PURCHASED FROM THE COMMISSION'S PUBLIC REFERENCE SECTION (IN ORDERING, PLEASE GIVE MONTH AND YEAR OF REPORT).

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
A&R CORP	13	12/73	CROWN INDUSTRIES INC	8	12/73
ADAMS DRUG CO INC	7,14	12/73	DALLAS POWER & LIGHT CO	7,14	12/73
AFFILIATED BANKSHARES OF COLORADO INC	3	11/73	DANA CORP	7,13,14	12/73
AIRBORNE FREIGHT CORP	13	12/73	DANNERS INC	13	12/73
ALBERTSONS INC	9	12/73	DEER PARK BAKING CO	11	12/73
ALGUREX DATA CORP	11,14	11/73	DIAMOND M DRILLING CO	2,14	11/73
AMERICAN BANCORP	13	12/73	DIGIORGIO CORP	7,14	12/73
AMERICAN BUSINESS PRODUCTS INC	7	12/73	DIVERSIFIED MOUNTAINEER CORP	3,10,13,14	12/73
AMERICAN DIVERSIFIED HOLDING CORP INC	2,14	12/73	DREW NATIONAL CORP	7,14	12/73
AMERICAN MEDICORP INC	7,8,13	12/73	DUQUESNE LIGHT CO	7	12/73
AMERICAN MICRO SYSTEMS INC	13	12/73	EASTERN AIR DEVICES INC	11,13,14	01/74
AMERITEL ENTERPRISES INC	11	12/73	EDGINGTON OIL CO	7,13,14	12/73
ANCHOR SAVINGS ASSOCIATION	13,14	12/73	EL TRONICS INC	11,13	12/73
ANCHORAGE CAROLINA CORP	13,14	12/73	ENFLO CORP	13	12/73
APEXCO INC	8	12/73	EQUITABLE DEVELOPMENT CORP	12,14	10/73
ARGONAUT ENERGY CORP	2	12/73	ERB LUMBER CO	13	12/73
ARKANSAS POWER & LIGHT CO	7,14	12/73	ESMARK INC	3	12/73
ATLAS CORP	11	12/73	EXPEDITER SYSTEMS INC	1	12/73
AUDIOTRONICS CORP	3,7	12/73	FARADAY LABORATORIES INC	2,14	10/73
AUDISCAN INC	13	12/73	FARAH MANUFACTURING CO INC	13	12/73
AVCO CORP	13,14	12/73	FEDERATED MEDIA INC	11	12/73
BALTIMORE PAINT & CHEMICAL CORP	8,13,14	12/73	FEI CORP	9,14	12/73
BERGEN BRUNSWIG CORP	11	12/73	FILMWAYS INC	8	12/73
BRENSTEN INDUSTRIES INC	2,7,14	10/73	FIRST CHARTER FINANCIAL CORP	7,10,14	12/73
BRISTOL MYERS CO	3,14	12/73	FIRST WISCONSIN MORTGAGE TRUST	13	12/73
BROOKS INTERNATIONAL INC	11,13	12/73	FLORIDA POWER & LIGHT CO	3,13	12/73
BROWN CO	3	12/73	FOSTER GRANT CO INC	7	12/73
BUDGET CAPITAL CORP	13	12/73	FPA CORP	7,14	12/73
BUDGET INDUSTRIES INC	7,13	12/73	FRANKLIN ELECTRIC CO INC	7	12/73
CABLECOM GENERAL INC	13	11/73	FUNK SEEDS INTERNATIONAL INC	3	12/73
CABOT CABOT & FORBES LAND TRUST	13	12/73	GAP INSTRUMENT CORP	2,8,14	12/73
CACI INC	13	11/73	GARCIA CORP	11	12/73
CAMBRIDGE MEMORIES INC	1,2,11,14	12/73	GOLDEN NUGGET INC	3,13	12/73
CAPITAL HOLDING CORP	2,7,14	12/73	GUARDIAN INDUSTRIES CORP	7,11,14	12/73
CARIBBEAN SPUE CORP	3,13,14	12/73	GULF & WESTERN INDUSTRIES INC	13,14	11/73
CARLISLE CORP	8	12/73	GULF MORTGAGE & REALTY INVESTMENTS	11,14	12/73
CATERPILLAR TRACTOR CO	8,14	12/73	HERCULES INC	8	12/73
CAYMAN CORP	7,14	12/73	HOLIDAY INNS INC	13,14	12/73
CENTRAL ILLINOIS PUBLIC SERVICE CO	13,14	12/73	HOVERMARINE CORP	7,13,14	12/73
CENTURY TELEPHONE ENTERPRISES INC	13	12/73	HUSKY OIL CO DELAWARE	7,14	12/73
CHATHAM CORP	12	12/73	HUSKY OIL LTD	7,8,14	12/73
CHEM NUCLEAR SYSTEMS INC	11	12/73	HYDROMETALS INC	13,14	12/73
CHESSCO INDUSTRIES INC	11	12/73	HYNES & HUWES INSURANCE COUNSELORS INC	3,13,14	12/73
CHICAGO & NORTH WESTERN TRANSPORTATION C	3,13	12/73	ILLINI BEEF PACKERS INC	13,14	12/73
CITIZENS MORTGAGE INVESTMENT TRUST	3	12/73	INERCO NORTHERN EXPLORATION CO	2,8	12/73
CLEVELAND ELECTRIC ILLUMINATING CO	3	12/73	INERCO OIL CO	2	12/73
CLINTON OIL CO	3,13	12/73	INLAND CONTAINER CORP	13,14	12/73
CLUETT PEABODY & CO INC	8,14	12/73	INSYTE CORP	11	11/73
COMPUTAX SERVICES INC	14	12/73	IVY CORP	7,14	12/73
CONTINENTAL CAN CO INC	3,7,13,14	12/73	JAMES RIVER CORP OF VIRGINIA	13,14	12/73
CORNELIUS CO	8	12/73	JANTZEN INC	14	12/73
CORMETRICS MEDICAL SYSTEMS INC	7	12/73	JEASEN INDUSTRIES	11,14	12/73
COUSINS PROPERTIES INC	7,14	12/73	JERRICO INC	7,13,14	12/73
CRESCENT GENERAL CORP	3,13,14	12/73	JOY MANUFACTURING CO	13	12/73

1/ See Page 39.

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
KAVANAU REAL ESTATE TRUST	3	12/73	RESORTS INTERNATIONAL INC	3,8,14	12/73
KEITH CLARK INC	7	12/73	RHEINGOLD CORP	4,8,11	12/73
KMS INDUSTRIES INC	9	12/70	RIVERSIDE REAL ESTATE INVESTMENT TRUST	11	11/73
KOLLMORGEN CORP	7,14	12/73	ROBLIN INDUSTRIES INC	3,7,14	12/73
LEHIGH COAL & NAVIGATION CO	6	12/73	ROLAND INTERNATIONAL CORP	13,14	12/73
LILAC TIME INC	13	12/73	ROTRON INC	7,14	12/73
LILLY ELI & CO	14	12/73	RUPP INDUSTRIES INC	13,14	12/73
MAGNA CORP	13,14	12/73	RUSSELL STOVER CANDIES INC	13,14	12/73
MANGEL STORES CORP	13,14	12/73	SATURN AIRWAYS INC	2,14	12/73
MARION LABORATORIES INC	13,14	12/73	SATURN AIRWAYS INC	2,14	12/73
MCDONALDS CORP	13	12/73	SCOTTEN DILLON CO	12,14	12/73
MCNEIL REAL ESTATE FUND FOUR LTD	2,7,14	12/73	SEATTLE FIRST CO	3,13	12/73
MICHIGAN FINANCIAL CORP	2,3,7,14	10/73	SERVAMERICA INC	7,9,10,13,14	09/73
MIDLAND ENTERPRISES INC	7	12/73	SERVO CORP OF AMERICA	7,8	12/73
MINNEAPOLIS GAS CO	13	12/73	SHOWBOAT INC	13	12/73
MOBILE HOME INDUSTRIES INC	11	12/73	SIGMOR CORP	6	04/73
MOLYBDENUM CORP OF AMERICA	8	12/73	SIGMOR CORP	8	09/73
MONARCH INDUSTRIES INC	7,8,10,14	12/73	SIGMOR CORP	8	12/73
MORRISON KNUDSEN CO INC	14	12/73	SIKES CORP	3	12/73
MORTON NORWICH PRODUCTS INC	13,14	12/73	SIMERA CORP	13,14	12/73
MOUNTAIN FUEL SUPPLY CO	13	01/74	SMITHFIELD FOODS INC	8	12/73
MULTICOM CORP	13,14	12/73	SONESTA INTERNATIONAL HOTELS CORP	3,13	12/73
NATIONAL DISTILLERS & CHEMICAL CORP	8,10	11/73	SOSSIN SYSTEM INC	13	12/73
NATIONAL FUEL GAS CO	13	12/73	SOUNDSCRIBER CORP	11	12/73
NATIONAL MEDICAL CARE INC	13,14	11/73	SOUTHERN RAILWAY CO	7,14	12/73
NEW ENGLAND MERCHANTS CO INC	8,13	12/73	SOUTHLAND RACING CORP	13,14	12/73
NORTHWESTERN BELL TELEPHONE CO	7	12/73	SOUTHWESTERN ELECTRIC SERVICE CO	7,11,14	12/73
OAK PARK BANCORP INC	2,4,7,8,13,14	12/73	SPERRY RAND CORP	7	12/73
ODYSSEY INC	8,9,14	12/73	SPRINGS MILLS INC	7,14	12/73
ONEIDA LTD	4,7,14	11/73	STEBAK NATIONAL CORP	7,11	06/73
ORBANCO INC	13,14	11/73	STEBAK NATIONAL CORP	7	10/73
OUTBOARD MARINE CORP	13,14	12/73	SUPERIOR EQUITY CORP	13,14	11/73
OXFORD INDUSTRIES INC	7	12/73	SUPREME EQUIPMENT & SYSTEMS CORP	11,14	12/73
PACIFIC ASBESTOS CORP	6	12/73	SUSQUEHANNA CORP	3,6,13	12/73
PACIFIC HOLDING CORP	13	12/73	TAFT BROADCASTING CO	13	12/73
PARCO COMPANIES INC	2,3,7,8,14	12/73	TANNETICS INC	9,11,14	12/73
PEAVEY CO	11	12/73	TELECOM INC	2,14	12/73
PENN GENERAL AGENCIES INC	4,14	12/73	TENNEY ENGINEERING INC	7,14	12/73
PENNSYLVANIA POWER CO	4,7,14	12/73	TEXAS GAS TRANSMISSION CORP	13	12/73
PEOPLES BANCSHARES INC	2,11,13,14	09/73	TEXAS GULF INC	3	12/73
PET INC	11	11/73	TEXAS UTILITIES CO	13	12/73
PITTSBURGH DES MOINES STEEL CO	11	12/73	THOMAS & BETTS CO	13	12/73
PIZZA HUT INC	7	12/73	TMC MORTGAGE INVESTORS	13	12/73
PONY VILLAGE INVESTMENT CO	2	12/73	TOTAL RESOURCES INC	3	12/73
PORTLAND GENERAL ELECTRIC CO	14	12/73	TRANS SONICS INC	13	12/73
POSSIS CORP	11,14	11/73	TREADWAY COMPANIES INC	11,13	12/73
POTOMAC EDISON CO	7,14	12/73	TRUST MORTGAGE CORP	13	12/73
PREMIER PACIFIC INC	1,2,3,6	11/73	UB FINANCIAL CORP	3	12/73
PROVINCIAL HOUSE INC	11	12/73	UNION COMMERCE CORP	7	12/73
PUBLIC SERVICE CO OF INDIANA INC	3,7	12/73	UNION TRUST BANCORP	13,14	12/73
RAPIDATA INC	3	12/73	UNITED VIRGINIA BANKSHARES INC	7	12/73
RAYCO INTERNATIONAL INC	2,14	11/73	USP REAL ESTATE INVESTMENT TRUST	2,7,14	12/73
READE WALTER ORGANIZATION INC	6	12/73	VENTRON CORP	2,13,14	12/73
REDWOOD BANCORP	13	12/73	VENUS DRUG DISTRIBUTORS INC	2,7	12/73
REIS ROBERT & CO	6,14	12/73	VICTORIA STATION INC	7	12/73
RELIANCE INSURANCE CO	3,13	12/73	VILLAGE SUPER MARKET INC	11	12/73
REPUBLIC STEEL CORP	8,14	12/73	WADELL EQUIPMENT CO INC	12,14	12/73
RESERVE OIL & GAS CO	3,13,14	12/73	WASHINGTON NATIONAL CORP	13	12/73

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
WEIN AIR ALASKA INC	8	09/73
WELLINGTON TECHNICAL INDUSTRIES INC	6	12/73
WEST POINT PEPPERELL INC	11,14	12/73
WESTERN BEEF CATTLE FUND INC	7,13,14	12/73
WESTWOOD INC	7	10/73
WHEELABRATOR FRYE INC	3	12/73
WIEBOLDT STORES INC	6	12/73
WISCONSIN ELECTRIC POWER CO	13	12/73
WISCONSIN FINANCE CORP	4,7	12/73
WISCONSIN MICHIGAN POWER CO	13	12/73
XEROX CORP	7,14	12/73
ZAPATA CORP	7	08/73

AMENDMENTS TO REPORTS ON FORM 8-K

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
FIRST INTERNATIONAL BANCSHARES INC	14	11/73
FIRST MORTGAGE INVESTORS	10,14	10/73
INCOME MORTGAGE & REALTY SHARES	8	02/73
KMS INDUSTRIES INC	9	10/73
MARS BARGAINLAND INC	13,14	09/73
PIONEER TEXAS CORP	7	11/73
REAL EIGHT CO INC	12	10/73
TENNA CORP	10	08/73
TENNESSEE VALLEY BANCORP INC	7	11/73
TEXSTAR CORP	10,14	11/73
TIME PUBLDING CO INC	14	06/73
WAGNER ELECTRIC CORP	7,14	06/73
WALLICHS MUSIC & ENTERTAINMENT CO INC	2	10/73

RECENT REGULATION 13D ACQUISITION REPORTS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 13d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the beneficial owners listed below. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

REPORTING COMPANY OR INDIVIDUAL	ISSUER & NUMBER OF SHARES	DATE FILED
* Patrick J. Frawley, Jr.	Frawley Enterprises, Inc. Common Stock - 497,063 shs.	12- 3-73
* Patrick J. Frawley, Jr.	Schick Investment Co. Common Stock - 16,262 shs.	12- 3-73
* Edward E. Ettinger	Schick Investment Co. Common Stock - 102,000 shs. Common Stock - 53,333 shs. on conversion of Debentures	12- 3-73
G. Peter Fleck et al	Geotel, Inc. Common Stock - 312,600 shs. Common Stock - 312,102 shs. on conversion of Debentures & exercise of Warrants	12-17-73
Robert A. Waller Gross and Co., Inc.	Walker-Scott Corp. Common Stock - 39,150 shs. Common Stock - 1,281 shs. on conversion of Debentures	12-20-73
Leonard G. Rogers	Todhunter International, Inc. Common Stock - 50,103 shs. Common Stock - 1,000 shs. on exercise of Warrants	12-20-73
Kelly Associates, Ltd.	Todhunter International, Inc. Common Stock - 100,188 shs. Common Stock - 1,975 shs. on exercise of Warrants	12-21-73
E. W. Kelly	Todhunter International, Inc. Common Stock - 41,897 shs. (Mr. Kelly presently owns approximately 71% of the beneficial interest in Kelly Associates, Ltd. (see above entry)	12-21-73

* Amended Acquisition Report

REPORTING COMPANY OR INDIVIDUAL	ISSUER & NUMBER OF SHARES	DATE FILED
* Arnold E. Johns, Jr.	Southland Investment Corp. Common Stock - 107,185 shs. Common Stock - 38,500 shs. on exercise of Options	12-21-73
Jordan Co.	Equity National Industries Common Stock - 365,927 shs.	12-26-73
Alabama Farm Bureau Mutual Casualty Insurance Co., Inc.	American Fidelity Life Insurance Co. Common Stock - 138,680 shs.	12-26-73
* Howard E. and Ruth Mary Solomon	Venus Drug Distributors, Inc. Common Stock - 411,527 shs. (In addition, Howard E. Solomon owns 25,000 shs. of Common Stock)	12-26-73
Viking, Inc.	Sycor, Inc. Common Stock - 250,000 shs.	12-27-73
Sierra Pacific Industries	Welsh Corp. Common Stock - 35,500 shs.	12-27-73
Great American Insurance Co.	Fairmont Foods Co. Common Stock - 233,000 shs.	12-28-73
* Gulf & Western Ind., Inc.	Kayser-Roth Corp. Common Stock - 1,400,000 shs.	12-28-73
United Funds, Inc.	International Tel. & Tel. Corp. Cumulative Pfd Stock - 117,700 shs.	12-28-73
Central Bank, National Assn.	Computer Dynamics, Inc. Captial Stock - 1,043, 287 shs. (Above shs. purchased pursuant to a tender offer and represent approximately 93.9% of the outstanding shares)	1- 2-74
Interstate Securities Co. Employees Thrift Plan	ISC Industries Inc. Common Stock - 124,251 shs.	1- 2-74
Mrs. C. A. Johnson	Central Securities Corp. Common Stock - 246,312 shs. Common Stock - 36,063 on conversion of Preference	1- 2-74
* W. B. Collett	Beaver Creek Industries, Inc. Common Stock - 1,444,321 shs. Common Stock - 3,000,000 shs. on conversion of Preferred (40.97% of the outstanding voting securities are held by Mr. Collett)	1- 2-74

* Amended Acquisitions Report

REPORTING COMPANY OR INDIVIDUAL	ISSUER & NUMBER OF SHARES	DATE FILED
* Samuel A. Klurman	Medic-Home Enterprises Inc. Common Stock - 263,749 shs.	1- 2-74
Englehard Hanovia, Inc.	Polychrome Corp. Common Stock - 198,966 shs.	1- 2-74
City Investing Co.	Cayman Corp. Common Stock - 921,052 shs. on conversion of Note	1- 2-74
Mose Waldinger	Gable Industries Common Stock - 139,683 shs.	1- 4-74
First Greystone Associates Farm & Ranch Life Insurance Company, Inc. Arthur J. Finston	Pacific Holding Corp. Common Stock - 84,946 shs.	1- 4-74
Equitable Life Assurance Society of the United States	Gulf Resources & Chemical Corp. Common Stock - 187,200 shs. on exercise of Warrants	1- 7-74
Aetna Life Insurance Corp.	Gulf Resources & Chemical Corp. Common Stock - 160,000 shs. on exercise of Warrants	1- 7-74
Paul Revere Investors Inc. Paul Revere Life Insurance Co.	Gulf Resources & Chemical Corp. Common Stock - 48,000 shs. on exercise of Warrants	1- 7-74
Leo Model	Geotel, Inc. Common Stock - 63,000 shs. Common Stock - 100,000 shs. on conversion of Debentures Common Stock - 4,841 shs. on exercise of Warrants	1- 7-74

* Amended Acquisition Report

REPORTING COMPANY OR INDIVIDUAL	ISSUER & NUMBER OF SHARES	DATE FILED
Gerald O. Koop John F. Phillips Michael D. Calandra	Advanced Computer Techniques Corp. Common Stock - 220,000 shs.	1- 7-74
ESI Holding, Inc.	Expediter Systems, Inc. Common Stock - 354,600 shs. (Above shs. constitute approximately 42% of the outstanding shares)	1- 7-74
Fitzwilliam Resources Ltd. (Ireland)	Intercontinental Energy Corp. Common Stock - 134,000 shs.	1- 7-74

RECENT REGULATION 14D TENDER OFFERS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 14d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the Companies lsited below.

OFFER TO PURCHASE BY:	ISSUER & NUMBER OF SHARES TO BE TENDERED	DATE FILED
Vulcan Life Insurance Co.	American Allied Life Insurance Co. Common Stock - all outstanding shs. on the basis of .8324 share of Vulcan common Stock for each share	12-27-73
National CSS, Inc.	TBS Computer Center Corp. Common Stock - 317,720 shs. for cash at $9.00 net per share	12-28-73

SECURITIES OFFERINGS
ESTIMATED GROSS PROCEEDS FROM NEW SECURITIES
OFFERED FOR CASH IN THE UNITED STATES
AMOUNTS IN MILLIONS OF DOLLARS

	1972	1973	1972		1973		
	JAN-NOV	JAN-NOV	OCT	NOV	SEP	OCT	NOV
ALL OFFERINGS	87,091	93,709	9,473	10,994	8,091	8,901	12,311
CORPORATE	37,337	29,981	3,759	3,384	1,915	3,377	3,380
NON-CORPORATE	49,754	63,729	5,714	7,610	6,176	5,524	8,931
CORPORATE OFFERINGS, BY INDUSTRY							
MANUFACTURING	5,895	4,338	376	339	348	534	410
EXTRACTIVE	1,814	916	278	338	59	57	33
ELECTRIC-GAS-WATER	10,450	9,378	1,276	799	585	944	1,074
TRANSPORTATION	1,743	1,663	150	72	142	98	230
COMMUNICATION	4,768	4,518	371	661	243	678	796
SALES--CONSUMER-FINANCE	1,642	1,021	110	7	119	22	223
FIN-AND-REAL--ESTATE--**	7,626	6,707	965	724	231	901	505
COMMERCIAL AND OTHER	3,198	1,440	235	445	186	143	109
FOREIGN-CORP-INCLD-ABOVE	514	458	24	90	32	31	26
CORPORATE OFFERINGS, BY TYPE OF OFFERING							
PUBLIC	28,340	21,515	3,095	2,373	1,172	2,728	2,929
REGISTERED 1933 ACT	26,781	20,756	3,017	2,316	1,137	2,654	2,820
UNREGISTERED	1,559	759	78	57	35	74	109
RAILROAD	544	365	53	35	30	10	28
REGULATION A	244	146	17	20	3	11	13
OTHER	771	248	7	3	2	53	67
PRIVATE	8,997	8,466	664	1,010	743	648	451
GOVERNMENT AND OTHER OFFERINGS, BY TYPE OF ISSUER							
US-GOVT-INCLD-GUAR-ISSUES	14,528	18,909	2,530	3,590	2,432	485	4,521
FEDERAL AGENCY	3,950	1,507	516	734	0	100	300
GOVT SPONSORED AGENCIES 1/	6,675	21,345	625	1,400	2,100	2,512	1,900
STATE-AND-MUNICIPAL	21,269	20,735	1,970	1,817	1,630	2,232	2,165
FOREIGN--GOVERNMENT	667	937	60	35	0	184	40
INTERNATIONAL	259	0	0	0	0	0	0
NON-PROFIT-INSTITUTIONS	406	295	14	35	15	11	5

* LESS THAN 500 THOUSAND

** BEGINNING WITH JANUARY 1973, CLOSED-END INVESTMENT COMPANY SHARES ARE INCLUDED.
OTHER INVESTMENT COMPANY ISSUES ARE NOT INCLUDED.

1/ All G.N.M.A. - Guaranteed securities backed by Mortgage Pools are included in Government-Sponsored Agencies.

See explanatory notes on page 45, Volume No. 33.

SECURITIES OFFERINGS
NEW CORPORATE SECURITIES OFFERED FOR CASH IN THE
UNITED STATES BY TYPE OF ISSUER AND TYPE OF SECURITY
ESTIMATED GROSS PROCEEDS IN MILLIONS OF DOLLARS

	1972	1973	1972		1973		
	JAN-NOV	JAN-NOV	OCT	NOV	SEP	OCT	NOV
TOTAL CORPORATE	37,337	29,981	3,759	3,384	1,915	3,377	3,380
BONDS	25,035	19,577	2,298	2,350	1,366	2,314	2,106
PUBLICLY OFFERED	17,337	12,074	1,773	1,361	684	1,807	1,669
PRIVATELY OFFERED	7,698	7,503	525	988	682	507	437
PREFERRED STOCK	3,100	3,180	421	154	119	355	630
COMMON STOCK	9,202	7,225	1,040	881	430	707	644
MANUFACTURING	5,895	4,338	376	339	348	534	410
BONDS	4,178	3,715	256	260	270	462	343
PREFERRED STOCK	164	97	*	3	0	20	2
COMMON STOCK	1,552	526	120	77	78	52	66
EXTRACTIVE	1,814	916	278	338	59	57	33
BONDS	556	179	74	184	18	18	21
PREFERRED STOCK	3	9	0	0	0	1	0
COMMON STOCK	1,253	728	204	154	41	39	12
ELECTRIC GAS-- WATER	10,450	9,378	1,276	799	585	944	1,074
BONDS	5,855	4,992	518	327	334	337	884
PREFERRED STOCK	2,202	1,779	366	150	113	307	125
COMMON STOCK	2,394	2,607	392	322	139	301	364
TRANSPORTATION	1,743	1,663	150	72	142	98	230
BONDS	1,582	1,627	137	64	140	98	226
PREFERRED STOCK	*	13	0	0	0	*	4
COMMON STOCK	161	23	12	8	2	0	0
COMMUNICATION	4,766	4,518	371	661	243	678	796
BONDS	3,660	3,176	313	660	228	633	296
PREFERRED STOCK	682	1,220	54	0	6	18	499
COMMON STOCK	426	122	3	1	10	28	0
SALES--CONSUMER-FIN	1,642	1,021	110	7	119	22	223
BONDS	1,637	1,020	110	6	119	22	223
PREFERRED STOCK	0	0	0	0	0	0	0
COMMON STOCK	5	1	0	*	0	0	0
OTHER FIN AND REAL ESTATE**	7,826	6,707	965	724	231	901	505
BONDS	5,844	3,960	778	522	125	709	382
PREFERRED STOCK	36	60	0	1	1	11	0
COMMON STOCK	1,945	2,688	187	201	105	181	123
COMMERCIAL AND OTHER	3,198	1,440	235	445	186	143	109
BONDS	1,720	909	112	328	131	36	30
PREFERRED STOCK	12	2	*	0	0	0	0
COMMON STOCK	1,466	529	122	118	55	107	79
CONV BOND ISSUES INCLUDED ABOVE	2,181	559	162	159	3	250	32

* LESS THAN 500 THOUSAND
** BEGINNING WITH JANUARY 1973, CLOSED-END INVESTMENT COMPANY SHARES ARE INCLUDED. OTHER INVESTMENT COMPANY ISSUES ARE NOT INCLUDED.

See explanatory notes on page 47, Volume No. 33.

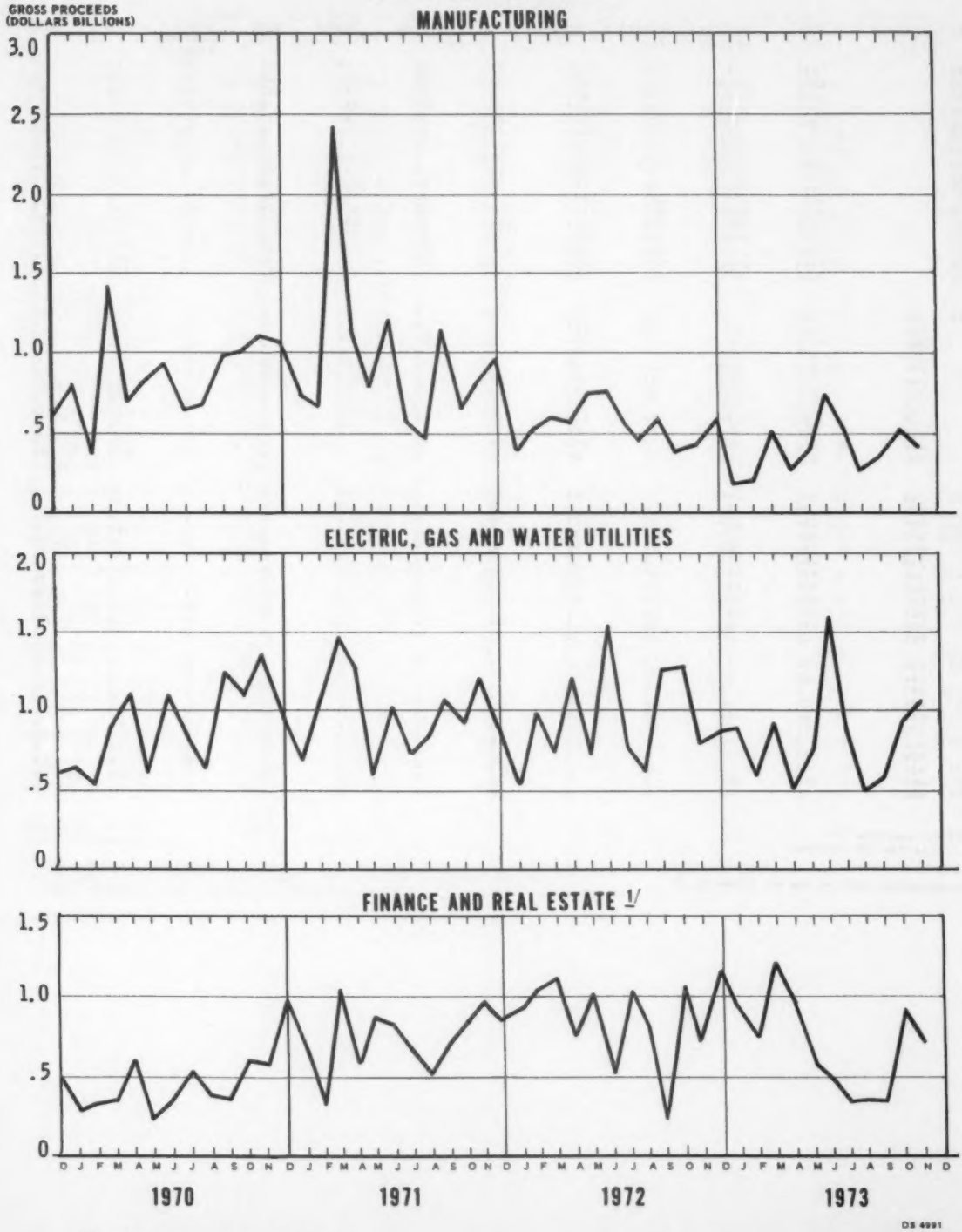
SECURITIES OFFERINGS
NEW CONVERTIBLE BONDS OFFERED FOR CASH
IN THE UNITED STATES
$ MILLIONS

YEAR AND MONTH		TOTAL	PUBLICLY OFFERED	PRIVATELY PLACED	MFG	EXT	E.G./W	TRANSP	COMM	SALES / CONSUMER FINANCE	F/R/E	C AND I
1956		925	763	163	713	19	63	47	51	N/A	18	13
1957		1064	995	69	679	33	232	7	49	N/A	6	58
1958		1147	1071	77	291	14	43	40	721	N/A	11	27
1959		628	536	92	242	34	104	114	0	N/A	31	102
1960		462	356	105	180	27	45	39	12	N/A	71	87
1961		710	625	84	445	5	31	35	4	N/A	62	127
1962		445	346	99	273	25	4	1	5	N/A	58	79
1963		357	234	122	203	14	0	68	11	4	11	46
1964		425	366	59	207	18	0	79	10	0	77	34
1965		1264	1181	83	546	7	79	129	3	0	355	144
1966		1872	1764	109	1097	5	81	498	62	6	28	94
1967		4475	4108	367	2704	123	45	619	69	23	77	816
1968		3281	2663	619	1353	148	71	231	153	28	570	727
1969		4041	3099	942	1659	195	23	451	73	6	773	860
1970		2636	2264	392	880	152	123	191	127	27	702	452
1971		3644	3462	181	1351	7	58	24	2	1	1402	798
1972		2286	2075	213	535	302	2	106	131	0	804	411
1971	JAN	100	77	23	42	0	*	0	0	1	51	6
	FEB	170	146	24	113	0	8	0	2	0	36	11
	MAR	565	550	15	356	0	50	0	0	0	104	55
	APR	393	376	16	137	*	0	0	0	0	123	132
	MAY	253	243	10	18	1	0	0	0	0	200	34
	JUN	723	706	17	107	*	0	0	0	0	225	391
	JUL	207	197	10	47	0	0	10	*	0	97	53
	AUG	160	157	3	61	1	0	0	0	0	98	0
	SEP	293	274	19	215	0	0	14	0	0	52	12
	OCT	229	206	23	48	1	0	0	0	0	109	71
	NOV	396	392	4	190	*	0	0	0	0	204	2
	DEC	155	138	17	17	4	0	0	0	0	103	31
1972	JAN	260	244	16	43	30	0	65	0	0	110	13
	FEB	77	73	5	8	0	0	0	0	0	66	1
	MAR	233	229	5	103	6	0	0	0	0	98	26
	APR	100	74	27	76	0	0	0	0	0	19	5
	MAY	222	203	19	116	2	0	14	0	0	58	33
	JUN	130	121	9	22	0	2	0	0	0	28	78
	JUL	450	433	17	71	0	0	0	126	0	252	2
	AUG	183	179	4	13	98	0	0	0	0	3	70
	SEP	203	181	21	65	1	0	0	0	0	32	105
	OCT	162	152	10	1	56	0	27	0	0	72	6
	NOV	171	146	25	2	96	0	0	5	0	1	68
	DEC	95	40	55	15	13	0	0	0	0	63	4
1973	JAN	81	75	6	2	4	0	75	0	0	0	0
	FEB	28	25	3	22	0	0	0	0	0	5	1
	MAR	3	0	3	3	0	0	0	0	0	0	1
	APR	23	0	23	10	0	0	0	10	0	0	3
	MAY	82	69	13	13	0	0	0	11	0	58	0
	JUN	11	2	9	1	0	0	0	0	0	10	0
	JUL	0	0	0	0	0	0	0	0	0	0	0
	AUG	47	39	8	8	0	0	1	0	0	36	1
	SEP	3	2	0	0	0	0	0	2	0	0	0
	OCT	250	250	0	0	0	0	0	0	0	250	0
	NOV	32	32	0	0	0	0	0	0	0	32	0

N/A - Not available
* - Less than 500 thousands

See explanatory notes on page 46, Volume No. 33.

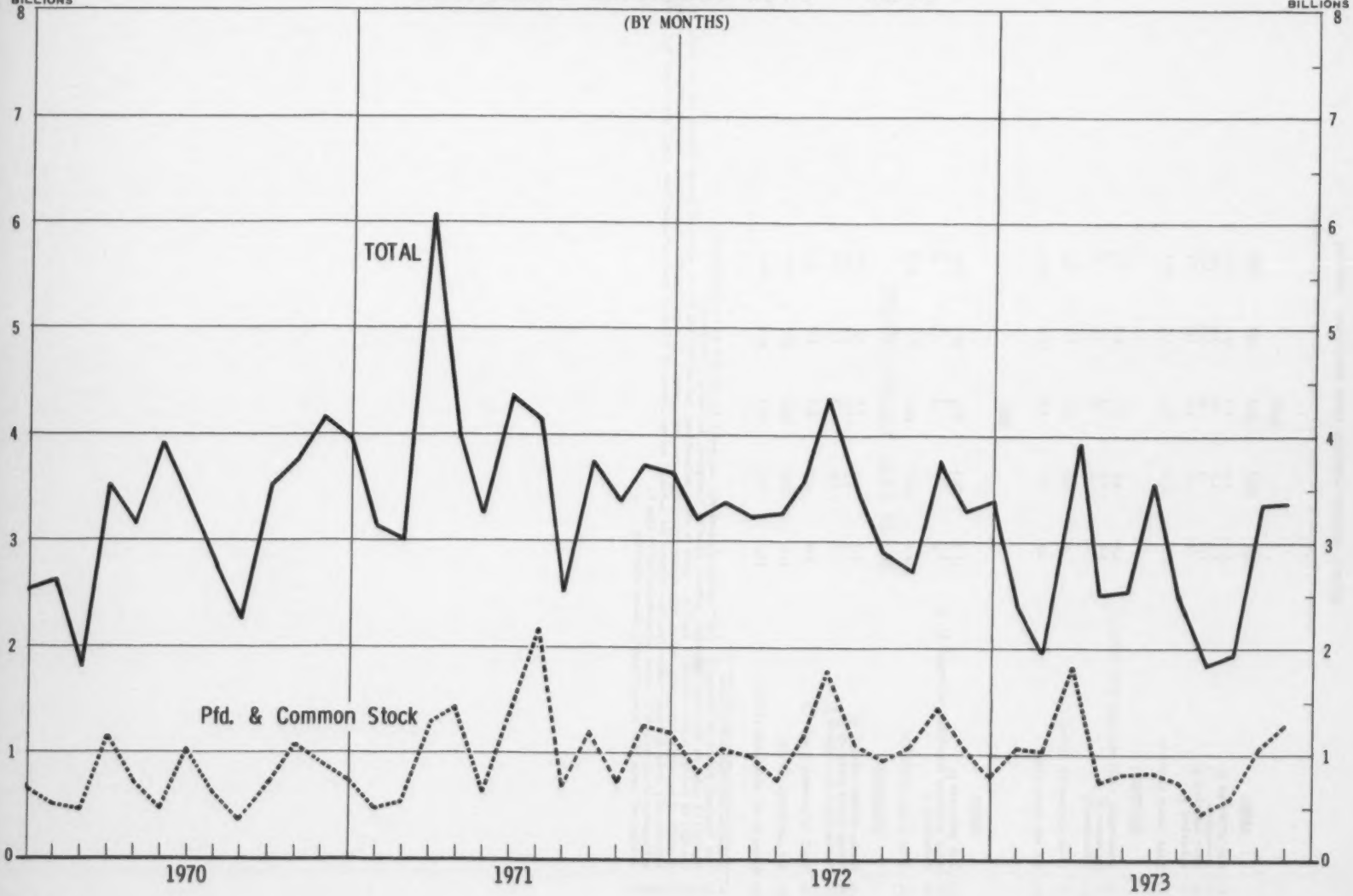
NEW CORPORATE SECURITIES OFFERED FOR CASH SALE BY INDUSTRY OF ISSUER
(1970 - 1973 by Months)



1/ *Includes sales and consumer finance.*

CORPORATE OFFERINGS 1970 – 1973

(BY MONTHS)



DOMESTIC CORPORATE SECURITIES ISSUED AND RETIRED: 1968-1972

(Amounts in billions of dollars; data rounded and may not add to totals)

	1968	1969	1970	1971	1972
STOCK					
ISSUES					
1. Cash offerings 1/	4.6	8.4	8.7	12.9	13.3
2. Conversions	1.0	1.2	.8	1.6	1.8
3. Other additions 2/	1.0	.9	.8	1.2	1.3
4. Deductions 3/	.5	1.2	1.2	1.0	1.1
5. Total issues (1+2+3-4)	6.1	9.3	9.2	14.7	15.3
RETIREMENTS					
6. Repurchases, calls and other retirements 4/	5.5	3.8	2.7	1.7	2.4
7. Exchanges 5/	2.4	2.1	.2	.1	*
8. Deductions 6/	.9	.8	.5	.5	.2
9. Total retirements (6+7-8)	7.0	5.1	2.4	1.3	2.2
10. Net new issues (5-9)	-.9	4.2	6.8	13.4	13.1
DEBT					
ISSUES					
11. Cash offerings 1/	17.7	18.3	30.1	32.3	27.8
12. Other additions (including exchanges) 2/, 5/	2.5	2.1	.2	.2	*
13. Deductions 3/	.8	.9	.8	.6	.8
14. Total issues (11+12-13)	19.4	19.5	29.5	31.9	27.0
RETIREMENTS					
15. Issues offered publicly 6/	1.3	1.4	2.2	2.5	2.5
16. Issues placed privately 7/	3.0	3.1	3.6	3.8	3.7
17. Conversions and exchanges 5/	1.1	1.2	.9	1.9	1.8
18. Total retirements (15+16+17)	5.4	5.7	6.7	8.2	8.0
19. Net new issues (14-18)	14.0	13.8	22.8	23.7	19.0
Net change in all securities (10+19)	13.1	18.0	29.6	37.1	32.1

* Indicates less than $100 million.

1/ "New Corporate Securities Offered for Cash" series adjusted when necessary for delayed delivery schedules.

2/ Consists of sales by affiliated companies, private sales to foreigners, and sales to employees.

3/ Consists of foreign issues offered in the U.S., sales to other corporations, and an estimate of the amount of issues offered, but not sold.

4/ Consists of repurchases by public tender, open-market repurchases, and cash payments in connection with liquidations, reorganizations and mergers.

5/ Reflects only those exchange transactions that involve the issuance and retirement of different types of securities, e.g., debt issues for equity issues.

6/ Retirements of issues held by other corporations and in item 6.

7/ Consists of issues that were originally placed privately.

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Second Six Months of Volume Number 32

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SERIES	SERIES TITLE		COVERAGE AND LOCATION					
M-1	MARKET VALUE AND VOLUME OF SALES ON UNITED STATES STOCK EXCHANGES	PERIOD ENDING PAGE NUMBER	MAY - 73 670	JUN - 73 801	JUL - 73 913	AUG - 73 1028	SEP - 73 1134	OCT - 73 1245
M-2	ROUND-LOT AND ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	7/20/73 828	8/24/73 1160	9/28/73 1107	11/2/73 1242		
M-3	ODD-LOT SHARE VOLUME ON NYSE - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	7/27/73 832	8/31/73 1159	10/5/73 1109	11/9/73 1244		
M-4	ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX - 5 WEEK RECAPITULATION	PERIOD ENDING PAGE NUMBER	7/20/73 830	8/24/73 1162	9/28/73 1110	11/2/73 1240		
M-5	SELECTED STOCK MARKET INDICATORS	PERIOD ENDING PAGE NUMBER	MAY - 73 567	JUN - 73 676	JUL - 73 802	AUG - 73 946	SEP - 73 1029	OCT - 73 1164
M-6	NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER 1933 ACT	PERIOD ENDING PAGE NUMBER	MAY - 73 677	JUN - 73 803	JUL - 73 914	AUG - 73 1030	SEP - 73 1135	OCT - 73 1273
M-7	GROSS PROCEEDS FROM NEW SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER	MAY - 73 727	JUN - 73 835	JUL - 73 949	AUG - 73 1054	SEP - 73 1191	OCT - 73 1309
M-8	VALUE OF NEW CORPORATE SECURITIES OFFERINGS	PERIOD ENDING PAGE NUMBER	MAY - 73 728	JUN - 73 836	JUL - 73 950	AUG - 73 1055	SEP - 73 1192	OCT - 73 1310
M-9	VALUE OF CONVERTIBLE BONDS OFFERINGS	PERIOD ENDING PAGE NUMBER	MAY - 73 729	JUN - 73 837	JUL - 73 951	AUG - 73 1056	SEP - 73 1193	OCT - 73 1311
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Q-2	OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS	PERIOD ENDING PAGE NUMBER	QUARTER 2-1973 978			QUARTER 3-1973 1338		
Q-3	NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	PERIOD ENDING PAGE NUMBER	QUARTER 2-1973 915			QUARTER 3-1973 1274		
Q-4	WORKING CAPITAL: CURRENT ASSETS & LIABILITIES OF NON-FINANCIAL U.S. CORPORATIONS	PERIOD ENDING PAGE NUMBER	QUARTER 2-1973 980			QUARTER 3-1973 1314		
Q-5	ASSETS OF NONINSURED PENSION FUNDS	PERIOD ENDING PAGE NUMBER	QUARTER 2-1973 952			QUARTER 3-1973 17		
Q-6	STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS	PERIOD ENDING PAGE NUMBER	QUARTER 2-1973 953			QUARTER 3-1973 18		
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S-2	SEMI-ANNUAL SUMMARY OF CHANGES IN SELECTED STOCK MARKET INDICATORS	PERIOD COVERED PAGE NUMBERS	JANUARY 1960 THROUGH JUNE 29, 1973 678 THROUGH 690					JANUARY 1960-NOVEMBER 1973 1277 THROUGH 1286
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NOTE: Index covering previous six months can be found on page 25 of Vol. No. 33, Issue No. 1

First Six Months of Volume Number 33

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W-2	SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	CURRENT ISSUE COVERS WEEK ENDED 3 FRIDAYS EARLIER						
W-3	ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	CURRENT ISSUE COVERS 4 WEEKS ENDED 2 FRIDAYS EARLIER						
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W-6	FORM 8-K FILINGS	CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY						
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Q-2	OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS	PERIOD ENDING PAGE NUMBER	QUARTER 4-1973			QUARTER 1-1974		
Q-3	NET CHANGE IN CORPORATE SECURITIES OUTSTANDING	PERIOD ENDING PAGE NUMBER	QUARTER 4-1973			QUARTER 1-1974		
Q-4	WORKING CAPITAL: CURRENT ASSETS & LIABILITIES, NON-FINANCIAL U.S. CORPORATIONS	PERIOD ENDING PAGE NUMBER	QUARTER 4-1973			QUARTER 1-1974		
Q-5	ASSETS OF NONINSURED PENSION FUNDS	PERIOD ENDING PAGE NUMBER	QUARTER 4-1973			QUARTER 1-1974		
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DIRECTORY OF COMPANIES FILING ANNUAL REPORTS AVAILABLE. The SEC announced today the publication of the December 1972 directory of companies filing annual reports with the Commission. The list of companies is presented alphabetically and classified by industry groups.

The directory covers 10,273 companies filing annual reports under the Securities Exchange Act of 1934 as of December 31, 1972. The directory includes companies with securities listed on national securities exchanges, companies with securities traded over the counter which are registered under Section 12(g) of the Securities Exchange Act, and certain companies filing pursuant to Section 15(d) of the Securities Exchange Act as a result of having securities registered under the Securities Act of 1933.

Copies are available from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402, at $3.85 per copy. In addition, copies of the directory are available for public inspection at the Central Office and the Regional and Branch Offices of the Commission. (Release 34-10587)

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